Spring 2018

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William Flounders, MBA
Thomas Jefferson University

Justin Gates, MBA
Thomas Jefferson University

Steven Heffner, MBA
Thomas Jefferson University

Michael Lawler, BS, RN, MSN, FNP-BC, MBA
Thomas Jefferson University, michael.lawler@jefferson.edu

Julia Pardini, MBA
Thomas Jefferson University

See next page for additional authors

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Recommended Citation
Flounders, MBA, William; Gates, MBA, Justin; Heffner, MBA, Steven; Lawler, BS, RN, MSN, FNP-BC, MBA, Michael; Pardini, MBA, Julia; DePrince, RN, BA, CCRN, SCRN, Maureen; and Rosenwasser, MD, MBA, FACS, FAHA, Robert H. (2018) "A Systems Thinking Approach to Redesigning the Patient Experience to Reduce 30 Day Hospital Readmission," JHN Journal: Vol. 13 : Iss. 2 , Article 1.
DOI: https://doi.org/10.29046/JHNJ.013.2.001
Available at: https://jdc.jefferson.edu/jhnj/vol13/iss2/1

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Authors
William Flounders, MBA; Justin Gates, MBA; Steven Heffner, MBA; Michael Lawler, BS, RN, MSN, FNP-BC, MBA; Julia Pardini, MBA; Maureen DePrince, RN, BA, CCRN, SCRN; and Robert H. Rosenwasser, MD, MBA, FACS, FAHA

This review article is available in JHN Journal: https://jdc.jefferson.edu/jhnj/vol13/iss2/1
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William Flounders, MBA; Justin Gates, MBA; Steven Heffner, MBA; Michael J. Lawler BS, RN, MSN, FNP-BC, MBA; Julie Pardini, MBA; Maureen DePrince, RN, BA, CCRN, SCRN; Robert Rosenwasser, MD, MBA, FACS, FAHA

Department of Neurological Surgery, Thomas Jefferson University and Jefferson Hospital for Neuroscience, Philadelphia, Pennsylvania, USA

INTRODUCTION

The cost of medical care is spiraling out of control, and one of the many reasons is lack of preventative care, poor communication to the patient and primary caregiver(s) both in an inpatient and outpatient setting. There are potentially many reasons for this cost escalation, one of the drivers of this cost is 30 day readmission after a hospitalization and this is what was examined in this analysis.

The purpose of this paper in particular is to share what has been learned using a systems thinking approach to hospital readmissions and the patient experience. It is critical to understand the problems that occurred in the past. In addition, we will explain the methodology utilized and bring awareness to the iterative process. We will also demonstrate a suggested redesigned model.

It is clear that the current system of medical care within the United States is expensive, wasteful and has failed in preventative care and promotion of wellness. Therefore, it is critical to change directions instead of the same paradigm (Old Street to go down Change Boulevard.)

The methodology utilized to dissect the problem was approached by employing the elements of systems thinking; looking at emergent properties, the importance of asking questions, problem dissolution, and listening and additional dialogue with key stakeholders. In addition, constant iteration and communication was important in developing functional models.

Emergent Properties (Figure 1)

Infeasible parts on their own do not yield the ideal but together they must create a feasible whole. Importance of asking questions is that the right questions must be asked and answered. Problem dissolution is solving the problem about applying redesigned solution to the problem after deconstruction has taken place. Listening, the more one listens, the more one will understand the problems and get to the “why.” Constant iteration is essential and involves incremental change for continuous improvement. Communication without the ability to effectively and efficiently communicate, a vicious cycle continues. Good models - find the right breadth (scope and boundaries) and depth and (level of detail) for its intended purpose.

Constraints exist and are universal, but it is essential to solve problems within the constraints and understand which ones can be modified in which ones cannot. A systems thinking framework involves the agenda, the context, opinions, and mental models in shared learning.

It is important to define the system boundaries and as figure 2 illustrates, new boundaries are created and changed in order to conform to the system.

There is not one correct mix, there are multiple levers to pull which include physician, patient and family unit, payor, policy maker, care delivery team and provider, and the financial aspect of delivering medical care.

It is important to remember the focus and time for review is essential. Currently the healthcare delivery model is in a significant transition and historically the system within the United States has been a volume-based model which is clearly shifting to a value based model. Value is defined as health outcomes divided by the cost of delivering the outcomes and revenue transition. The transition exists where these index curves are changing from volume-based to value-based and is illustrated in figure 3.

Hospital readmissions are a symptom of disease and these make up the “mess” as defined within a systems thinking
context. (Ref J. Gharajedaghi). Walter Cronkite, a well-known and respected news journalist 50 years ago indicated that America’s healthcare system is neither healthy, caring, nor a system. The impact is enormous and the cost to society of 30-day readmissions are crushing the system. The goal is that savings would be generated by a new paradigm and used for wellness and prevention. The project scope and mission in this model was to deconstruct the current system and develop a refined and iterative model to reduce the hospital readmissions for neurovascular events which include hemorrhagic stroke and ruptured intracranial aneurysms, two diagnoses that are extremely costly to the current system (Figure 4).

As alluded to, hospital readmissions are symptom of the disease as figure 5 illustrates. Readmissions account for 24 billion USD in the Medicare program. 8.1 billion USD in privately insured patients, 7.6 billion USD in the Medicaid population and over 1.5 billion USD in uninsured patients. The total number of readmissions in 2016 was 3.3 million for an annual cost of 41.2 billion USD. As the figure 5 illustrates, not only the cost but the percent are largest in the Medicare population.

THE PROBLEM
In 2012, Center for Medicare Services believed providers with excess readmissions were providing low quality care and the proposed remedy at that time, was financial punishment to the professional and technical components of healthcare delivery. In 2013, there was a 1% penalty; 2014, a 2% penalty; in 2015, a 3% penalty. That is, not only would physicians and hospitals not be paid for care delivered but in addition, a penalty would be paid to CMS, (Center for Medicare Services). In the United States, 82% of hospitals were affected by this penalty.

The simplified “Map of the Mess” as figure 6 illustrates, includes several vicious cycles that have been identified between the policy maker and misaligned incentives, between the payor and the patient, and between the patient and the policy maker. Avoidable unplanned readmissions are due to many factors including secondary care (such as inadequate or inappropriate rehabilitation) which is suboptimal and the poor communication of the event and post-hospital discharge planning and education and
sub-optimal primary care and communication of the event. This is affected by the socioeconomic status the individual and as the figure 6 illustrates, there are many interconnected variables.

Pathway to Potential Solutions

A winning healthcare formula starts with solving the right problems plus idealized design. Figure 7 illustrates the timeline which the investigators embarked upon to examine the problem, and to proceed with problem dissolution and reconstruction. On September 27th, there was an introduction meeting with hospital stakeholders. October 20th, the initial “mess” construction began. On November 2nd, interviews with key stakeholders performed by members of the research team. December 3rd, “Map of the Mess” was presented for consideration, criticism, and comment.

Once that had been accomplished, the 1st iteration was completed on January 27th, the 2nd iteration was completed on February 10th. After the 2nd iteration, there was a 2nd meeting with hospital stakeholders involved with this project on February 27th. This led to iteration #3 and on March 10th with the initial solution presentation on April 7th and complete project presentation and recommendations in May of 2017.

The common thread identified in problem dissolution was inefficient and inadequate communication as major drivers of hospital readmission. An additional factor was the significant impact of risk avoidance by healthcare providers influencing behavior and decisions. That is “easiest and safest” decision is to readmit the patient.

Based on these findings, the traditional care process for this subgroup of neurological patients was entirely deconstructed. Design specifications included a primary endpoint of reduction in 30-day readmission, a secondary endpoint of reduced cost to the system and a tertiary endpoint to improve patient outcome.

The ideal healthcare system using systems thinking definitions and methodology involve the 5 social system dimensions including wealth, beauty, knowledge, power, and value. They are illustrated in figure 8. Patients were engaged and educated, patients with access to information, holistic patient understanding, empowerment for all stakeholders, leading to continuous improvement and reinvestment of profits into wellness, disease
The patient wellness involved multiple categories but the 4 areas in particular intersecting are the payor, the policy maker, the provider, and the patient.

Concerning the payor, the goal is to provide nonrestrictive access based on patients’ needs, shared access to holistic patient information, and support compensation of financial remuneration for medical errors.

The provider needs to have a holistic understanding of the patient, empowered hospital staff such as nurse practitioners and physician’s assistants. There is need for development of innovative process and technologies and profit should/ would be reinvested toward improving wellness. Metrics should also be based on levels of patient satisfaction.

The patient should be engaged and self-motivated in healthcare process with regular visits with the primary care physician and to implement a personal support network.

The policy maker needs to develop policies which allow for affordable access to medical care to all individuals and the goal is oversight (Figure 9).

Layered Constraints (Figure 11)

The layered constraints consist of 3 types. Type A, Type B, and Type C. Type A constraint is a monitored constraint with reduced reimbursements in the current payer system, the political environment and government regulations. Type B are universal constraints such as does a right staffing ratio exist, unclear and inefficient feedback loops and certainly there is a learning curve with education. Type C constraints which are behavioral deal with the hospital hierarchy, the current IT back bone, and lack of patient management. In addition, there is often defensive posturing by the staff which needs to be altered. As the figure 11 illustrates, a reinvestment of profits will lead to engaged and educated patients with access to care and holistic understanding of the patient. This allows empowerment for all stakeholders with continuous improvement and a virtuous cycle is created.

Iteration #2 involved the 2nd meeting with stakeholders at Thomas Jefferson University Hospital and Jefferson Hospital for Neuroscience (Figure 12). The “Patient/Owner managing team” is Value. Nationally accessible patient history is Knowledge as such would be utilized with an electronic medical record. Physicians and stakeholders should be empowered which is Power along that spectrum. Again, Wealth involves aligning government and payor incentives. Beauty in systems context, is utilizing technology to engage all stakeholders and caregivers to and for the patient.
Iteration #3 (Figure 13) was to develop a patient technology platform that provides all previous medical history, real-time interactions from all touch points and suggested and recommended treatment pathways. A single dedicated resource (Patient Navigator System) for all patients that move through the system is critical and creates a ‘community of care network’.

The path to a virtuous cycle involves understanding the mess, dissolution and multiple iterations and finally idealized design. This is illustrated in figure 14. The vicious cycles need to be removed and the key components of the provider which involves hospital, suboptimal primary care and secondary care, and the patient all ultimately can lead to unplanned readmission and by dissolution and realignment, as is illustrated in figure 15 vicious cycles are converted to virtuous cycles as illustrated in figure 16. Virtuous cycles are developed between primary care involving communication and secondary care communication. Additional vicious cycles involve treatment and medication compliance with personal wellness and involving a support system and a 3rd vicious cycle identified, also involves primary care communication with key performance indicators, reduction of hospital and operational costs, simplification of hospital policy and procedures, which affect the patient in a positive manner.

In summary, vicious cycles have been identified, also involves primary care communication with key performance indicators, reduction of hospital and operational costs, simplification of hospital policy and procedures, which affect the patient in a positive manner. Iteration #3 (Figure 13) was to develop a patient technology platform that provides all previous medical history, real-time interactions from all touch points, and suggested treatment pathway.

In looking forward, 3 virtuous cycles can certainly be identified. There may be additional ones, but improved patient experience, reduced cost, and improved population health are positive feedback loops as illustrated in figure 18.

In summary, vicious cycles have been identified, also involves primary care communication with key performance indicators, reduction of hospital and operational costs, simplification of hospital policy and procedures, which affect the patient in a positive manner. Iteration #3 (Figure 13) was to develop a patient technology platform that provides all previous medical history, real-time interactions from all touch points, and suggested treatment pathway.
All of these functions interact (Figure 19). The 3 V's to sustainable success are taking a vicious cycle converting via dissolution to virtuous cycles which ultimately becomes a victorious situation, but it does not end here and the iterative processes must continue.

**CONCLUSION**

In summary, the tools of systems thinking were utilized to perform dissolution of an inadequate health care delivery system and to implement and design a new team construct. As William E. Demming is quoted, “managed care means managing the processes of care, not managing the physicians and nurses (Figure 20).”

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