Degeneration of phrenic motor neurons induces long-term diaphragm deficits following mid-cervical spinal contusion in mice.

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Degeneration of Phrenic Motor Neurons Induces Long-Term Diaphragm Deficits following Mid-Cervical Spinal Contusion in Mice

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Abstract

A primary cause of morbidity and mortality following cervical spinal cord injury (SCI) is respiratory compromise, regardless of the level of trauma. In particular, SCI at mid-cervical regions targets degeneration of both descending bulbospinal respiratory axons and cell bodies of phrenic motor neurons, resulting in deficits in the function of the diaphragm, the primary muscle of inspiration. Contusion-type trauma to the cervical spinal cord is one of the most common forms of human SCI; however, few studies have evaluated mid-cervical contusion in animal models or characterized consequent histopathological and functional effects of degeneration of phrenic motor neuron–diaphragm circuitry. We have generated a mouse model of cervical contusion SCI that unilaterally targets both C4 and C5 levels, the location of the phrenic motor neuron pool, and have examined histological and functional outcomes for up to 6 weeks post-injury. We report that phrenic motor neuron loss in cervical spinal cord, phrenic nerve axonal degeneration, and denervation at diaphragm neuromuscular junctions (NMJ) resulted in compromised ipsilateral diaphragm function, as demonstrated by persistent reduction in diaphragm compound muscle action potential amplitudes following phrenic nerve stimulation and abnormalities in spontaneous diaphragm electromyography (EMG) recordings. This injury paradigm is reproducible, does not require ventilatory assistance, and provides proof-of-principle that generation of unilateral cervical contusion is a feasible strategy for modeling diaphragmatic/respiratory deficits in mice. This study and its accompanying analyses pave the way for using transgenic mouse technology to explore the function of specific genes in the pathophysiology of phrenic motor neuron degeneration and respiratory dysfunction following cervical SCI.

Key words: cervical; contusion; mice; phrenic motor neuron; SCI

Introduction

THE CERVICAL SPINAL CORD is affected in a large proportion of human spinal cord trauma cases. These spinal cord injuries (SCI) are often the result of road accidents, falls, sport injuries, or recreational activities, and occur most commonly in young individuals. Severe mid-cervical SCI leads to debilitating respiratory paralysis and often life-threatening emergencies, because of damage to the phrenic motor neuron pool that controls contraction of the diaphragm, the primary muscle of inspiration.1 Following cervical spinal cord trauma, deficits in breathing are attributed to disruption of supraspinal axons originating in bulbar primary respiratory centers, direct damage to motor neurons of the phrenic nucleus, and/or interruption of efferent phrenic motor nerve projections to the diaphragm. Given that the phrenic motor neuron–diaphragm circuit is directly affected by a large proportion of SCI cases, development and characterization of relevant cervical SCI models are crucial both for understanding the mechanisms of phrenic motor neuron loss following SCI and for developing therapies targeting protection and plasticity of this key motor neuron population.2

Contusion-type SCI models are some of the most clinically relevant experimental forms of spinal cord trauma. To date, the vast majority of spinal contusion models in the mouse have targeted thoracic levels,3–5 and it was only very recently that the first mouse model of bilateral cervical contusion SCI was described by Aguilar and Steward.6 Other available animal models of SCI include crush-type lesions,7 medullar compressions,8 and surgical tract axotomies.9–11 In particular, hemisection models at a high cervical level (C2) have been useful for studying the plasticity, recovery,
and repair of descending innervation of the phrenic nucleus, and notably were used to characterize the crossed-phrenic pathway in both mice and rats.

Rats have traditionally been the preferred species used in the SCI research community for conducting most types of studies. They provide relative ease for conducting neurobehavioral assays, and their large size allows for collection of greater amounts of tissue for biochemical analysis and provides practical advantages when conducting invasive therapeutic interventions (e.g., gene and cell delivery). In addition, the formation of a cystic cavity in the rat spinal cord following contusion is in many ways similar to the human SCI lesion. Nevertheless, the development and widespread use of genetically modified animals, which are primarily in murine models, have paved the way for the manipulation of genes possibly relevant genetically modified animals, which are primarily in murine models, and repair of descending innervation of the phrenic nucleus, and notably were used to characterize the crossed-phrenic pathway in both mice and rats.

Given the predominance of human SCI cases that affect mid-cervical spinal cord regions, as well as the high incidence of respiratory compromise in these patients, there is a high priority for investigating mechanisms of loss, protection and restoration of breathing. Therefore, we have in the present study addressed histopathological and functional changes in phrenic motor neuron–diaphragm circuitry in a mouse model of mid-cervical contusion SCI. We have specifically examined effects on phrenic motor neuron and phrenic nerve axonal loss, diaphragm muscle changes, and morphological and functional innervation of the diaphragm. In an attempt to affect a large proportion of the phrenic motor neuron pool, we targeted both C4 and C5 levels, as the phrenic nucleus is located approximately between levels C3 and C5 in mice. In order to study the long-term evolution of functional innervation of the diaphragm following injury, we characterized functional diaphragmatic changes out to 6 weeks post-injury. In addition, we histologically analyzed phrenic nerve–diaphragm circuitry at both 2 and 6 weeks post-injury in order to examine changes occurring between a relatively early and a more chronic time point, respectively. We have demonstrated that this unilateral cervical injury paradigm is reproducible with no need for breathing assistance and is accompanied by phrenic motor neuron loss; phrenic nerve axon degeneration, denervation, and subsequent partial reinnervation at the diaphragm NMJ; and reduction of phrenic nerve compound muscle action potentials (CMAP). These findings demonstrate a significant and persistent impairment in phrenic nucleus–phrenic nerve–diaphragm circuitry following contusion-type, mid-cervical SCI. This injury paradigm and accompanying analyses provide important tools for understanding mechanisms of phrenic motor neuron and diaphragm pathology following SCI. In addition, this model allows for the use of genetically modified animals for exploring the function of specific genes in the pathophysiology of respiratory motor neuron degeneration and functional respiratory compromise following cervical SCI.

### Methods

#### Animals

Eight to twelve-week-old male C57Bl/6 mice were obtained from Jackson Laboratory (Bar Harbor, ME) and housed in a controlled (light and temperature) environment with *ad libitum* access to food and water in the animal facility at Thomas Jefferson University. All care and treatment were conducted in compliance with the European Communities Council Directive (2010/63/EU, 86/609/EEC and 87-848/EEC), the National Institutes of Health (NIH) Guide for the Care and Use of Laboratory Animals, and the Thomas Jefferson University Institutional Animal Care and Use Committee (IACUC). Twenty-eight animals included in the study were divided into two groups: uninjured laminectomy-only controls and double SCI at both C4 and C5 levels. Table 1 summarizes the numbers of animals used for each procedure.

#### Unilateral cervical spinal cord contusion

Mice were anesthetized with a cocktail of ketamine (120 mg/kg) and xylazine (5 mg/kg). The dorsal skin and underlying muscle layers were incised along the midline between the spinous processes of C2 and T1 to expose the cervical region of the spinal cord. The dorsal muscle layers were retracted, and the paravertebral muscles overlying C4–C6 were removed. Following unilateral laminectomy on the right side at C4 and C5 levels, mice were subjected to a double spinal contusion injury at both C4 and C5 levels using the Infinite Horizon (IH) impactor (Precision

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**Table 1. Experimental Design**

<table>
<thead>
<tr>
<th>Analyses</th>
<th>Groups</th>
<th>2 weeks</th>
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<td>Analyses</td>
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<td>1. NMJ analysis</td>
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All 28 animals were used for CMAP and EMG recordings. At the time of euthaniasia, animals were split into one of two groups. The first group (19 animals in total) was used for: 1) cresyl violet staining to quantify lesion size and to count total motor neuron numbers, 2) CTβ phrenic motor neuron counts, 3) phrenic nerve histology, and 4) diaphragm histology to quantify overall muscle thickness and individual myofiber size. The second group (9 animals in total) was used for diaphragm NMJ analysis by whole-mount immunohistochemistry. CMAPs, compound muscle action potentials; CTβ, cholera toxin β; NMJ, neuromuscular junction; EMG, electromyography.
nerve. The ground needle electrode was placed in the tail, and the neck via near nerve needle electrodes placed along the phrenic with single stimulation (0.5 ms duration; 4 mV amplitude) at the in the same manner as described previously. With the animals Animals were anesthetized at either 2 weeks or 6 weeks post-injury (CMAPs and electromyography [EMG]) were terminal procedures. Cholera toxin Retrograde anatomical tracing using fluorescent cholera toxin β subunit (CTβ) This procedure allows for specific visualization of the phrenic motor neuron pool via retrograde transport of fluorescent tracer from the ipsilateral hemidiaphragm. Two weeks before euthanasia, CTβ conjugated to Alexa555 (Life Technologies, Grand Island, NY), a retrograde axonal tracer, was injected unilaterally into the intrapleural space of the ipsilateral hemidiaphragm muscle. This method was modified from the original procedure described in rats by Mantilla et al.25 Following laparotomy under anesthesia, 5 μL of CTβ (0.2% solution in distilled water) were intraperitoneally delivered through the diaphragm (2 injection sites of 2.5 μL each) using a 10 μL Hamilton syringe with an attached 33 gauge needle. To close the laparotomy, abdominal muscles were sutured with 4-0 suture, and skin was closed with wound clips. No complication (i.e. bleeding or pneumothorax) was ever observed following this procedure.

Neurophysiology

CMAP recordings. All neurophysiological recordings (CMAPs and electromyography [EMG]) were terminal procedures. Animals were anesthetized at either 2 weeks or 6 weeks post-injury in the same manner as described previously. With the animals under anesthesia, phrenic nerve conduction studies were performed with single stimulation (0.5 ms duration; 4 mV amplitude) at the neck via near nerve needle electrodes placed along the phrenic nerve. The ground needle electrode was placed in the tail, and the reference electrode was placed subcutaneously in the right abdominal region. Recording was obtained via a surface strip along the costal margin of the diaphragm, and CMAP amplitude was measured baseline to peak. Recordings were made using an ADI Powerlab 8/30 stimulator and BioAMP amplifier (ADInstruments, Colorado Springs, CO), followed by computer assisted data analysis (Scope 3.5.6, ADInstruments). A minimum of 10 tracings were taken following stimulation of the right phrenic nerve, and the average CMAP responses were recorded to ensure reproducibility.

Spontaneous EMG recordings. After CMAP recording and prior to being euthanized, animals received a laparotomy. Bipolar electrodes spaced by 3 mm were then inserted into several locations in the right hemidiaphragm. Activity was recorded and averaged during spontaneous breathing. The EMG signal was amplified, filtered through a band-pass filter (50–3,000 Hz), and analyzed using LabChart 7 software (ADInstruments). Parameters such as inspiratory burst per minute, discharge duration, and peak amplitude were averaged over 30 sec sample periods.

Histological analyses

Tissue processing. Animals were anesthetized for euthanasia at either 2 weeks or 6 weeks post-injury in the same manner as described previously. Diaphragm muscle and phrenic nerves were freshly removed and further processed for histology or immunohistochemistry. Animals were transcardially perfused with 0.9% saline, followed by 4% paraformaldehyde infusion. Spinal cords were harvested, followed by preparation of cervical spinal cord segments by: 1) post-fixation step in paraformaldehyde overnight, 2) washing in 0.1M phosphate buffer, 3) cryoprotection in 30% sucrose/0.1M phosphate buffer at 4°C for 3 days, and 4) embedding in freezing medium. Spinal cord tissue blocks were cut in the sagittal or transverse planes at 30 μm thickness. Sections were collected on glass slides and stored at −20°C until analysis.

Lesion histology and motor neuron counting. Using every fifth slide, spinal cord sections were thawed, allowed to dry for 1 h at room temperature, stained with 0.3% cresyl violet acetate, and imaged using a Zeiss Imager M2 upright microscope. Using ImageJ software, the lesion area was outlined and quantified (investigator C.N.). Specifically, the lesion area was determined every 150 μm on cresyl violet sections by tracing both the total area of the hemisphinal cord ipsilateral to the lesion and the actual injury area to obtain two-dimensional values. Injury was defined as areas including both lost tissue and surrounding damaged tissue in which the normal anatomical structure of the spinal cord was lost. The lesion epicenter was defined as the section with the largest percent of lesioned tissue (relative to total hemi-cord area in the same section). Lesion volume was determined using the Cavalieri estimator of volume

\[
V = \frac{\sum \left( A_1 + A_2 + \ldots + A_n \right) \times D}{\lambda_{\text{max}} \times Y}
\]

where \( A \) is the area of the lesion, \( D \) is the distance between measurements (150 μm) and \( Y \) is the thickness (30 μm) of each section).

To quantify myelin loss, every fifth slide was stained with Eriochrome R dye, according to a standard protocol. The percentage of areas with myelin loss at various distances from the lesion epicenter was calculated as the ratio of total area of unspared myelin per section to total ipsilateral hemi-cord area (investigator K.R.).

In cresyl violet stained sections, the total number of cervical motor neurons was quantified in a blind manner (investigator R.P.). Ventral horn was defined as gray matter ventral to the central canal. Only motor neurons with a clearly identifiable nucleus and a cell soma > 200 μm² were counted.
To specifically count the number of phrenic motor neurons labeled with CTβ (via retrograde transport from the ipsilateral hemidiaphragm), transverse spinal cord sections (using every fifth slide) were thawed, allowed to dry for 1 h at room temperature, washed twice in 0.1M Tris-buffered saline (TBS), dipped into distilled water, and cover-slipped with fluorescence-compatible antifade reagent (ProLong Gold, Life Technologies, Grand Island, NY). Across the entire cervical region, CTβ motor neurons were counted every fifth section in a blind manner (investigator C.N.), and an estimation of the total number of labeled cells in the ventral horn was calculated by multiplying by the number of counted CTβ cells by 5. In addition, the number of CTβ cells was plotted at specific distances relative to the lesion epicenter.

Phrenic nerve histology. Upon removal, phrenic nerves were fixed in 4% glutaraldehyde solution for 1.5 h, thoroughly washed in Millonig’s buffer with 0.5% (w/v) glucose for 24 h, postfixed in 2% (w/v) OsO4 for 30 min, dehydrated, and embedded.

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Results

Animal survival and neurological status following C4+ C5 injury

In order to target the injury to a large portion of the ipsilateral phrenic nucleus, mice received a double 50 kD contusion at both C4 and C5 levels. This strategy was employed based on the knowledge that the majority of the phrenic motor neuron population is located between C3 and C6 levels in mice. Retrograde labeling of phrenic motor neurons from the ipsilateral hemidiaphragm confirmed that the greatest density of phrenic motor neurons was found at the C4 level. Upon completion of the double C4+C5 injury procedure, 70.4% of mice survived without the need for ventilatory assistance. All animals exhibited qualitatively ipsilateral forelimb paresis upon waking, and significant decrease of forelimb grip strength up to 6 weeks post-injury (data not shown).

Decreased CMAP amplitude in ipsilateral hemi-diaphragm

To electrophysiologically investigate whether contusion injury at C4 and C5 levels functionally impairs phrenic nerve–diaphragm circuitry, diaphragm CMAP amplitudes following phrenic nerve stimulation (nerve conduction studies) were obtained from surface recordings of the ipsilateral hemidiaphragm of both injured and laminectomy-only mice at 2 and 6 weeks post-injury. CMAP amplitudes in uninjured mice reached almost 4 mV (Fig. 1A). Quantitatively, we observed at 2 weeks post-injury a significant decrease in CMAP amplitude in injured animals compared with the laminectomy control group (2.0 ± 0.3 mV, p < 0.01) (Fig. 1B). By 6 weeks post-injury, CMAP amplitudes were similarly reduced in the injured group (2.0 ± 0.3 mV, p < 0.01) (Fig. 1C). Stimulation of the contralateral phrenic nerve did not result in any detectable CMAP response in the hemidiaphragm ipsilateral to the injury site (data not shown).

Needle EMG recordings from the ipsilateral hemidiaphragm did not demonstrate significant qualitative changes with respect to EMG amplitude at 2 weeks (Fig. 1E) and 6 weeks (Fig. 1F) after injury compared to the laminectomy-only group (Fig. 1D). For all conditions, motor unit amplitudes were in the 500–1,000 μV range. However, a reduction in the burst inspiratory rate was observed over time following injury. These changes reached significance at 6 weeks post-injury (198 ± 3 vs. 123 ± 17 bursts per minute, p < 0.05, in laminectomy and C4+C5 injury, respectively) (Fig. 1G). In addition, discharge duration in the ipsilesioned hemidiaphragm was significantly increased in injured animals compared with the laminectomy control group (130 ± 12 vs. 94 ± 8 ms, p < 0.04) (Fig. 1H).
Gray and white matter disruption

We histologically characterized the rostral-caudal extension of lesion, as well as general morphology of gray and white matter regions, following C4+C5 contusion. Cresyl violet stained sections of cervical spinal cord from uninjured animals (Fig. 2E) and mice subjected to the injury paradigm (Fig. 2A-D) were analyzed for lesion area and volume at both 2 weeks and 6 weeks post-injury. In transverse spinal cord sections, the lesion epicenter encompassed the dorsolateral, lateral and ventrolateral funiculi and in a few cases reached the ventral funiculus at C5 level (Fig. 2B and C). Gray matter was mostly unspared at the lesion epicenter, as the general structure of dorsal and ventral horns was disrupted and filled in with hypercellularized fibrous tissue (Fig. 2F). At more rostral (C3) and caudal (C6) locations, lesioned tissue was mostly detected in white matter areas, especially in the lateral funiculus (Fig. 2A and D). At the injury epicenter at 2 weeks post-injury, lesion area reached a 190±19 ms, p<0.05, in laminectomy and C4+C5 injury, respectively) (Fig. 1H).

Gray and white matter disruption

We histologically characterized the rostral-caudal extension of lesion, as well as general morphology of gray and white matter regions, following C4+C5 contusion. Cresyl violet stained sections of cervical spinal cord from uninjured animals (Fig. 2E) and mice subjected to the injury paradigm (Fig. 2A-D) were analyzed for lesion area and volume at both 2 weeks and 6 weeks post-injury. In transverse spinal cord sections, the lesion epicenter encompassed the dorsolateral, lateral and ventrolateral funiculi and in a few cases reached the ventral funiculus at C5 level (Fig. 2B and C). Gray matter was mostly unspared at the lesion epicenter, as the general structure of dorsal and ventral horns was disrupted and filled in with hypercellularized fibrous tissue (Fig. 2F). At more rostral (C3) and caudal (C6) locations, lesioned tissue was mostly detected in white matter areas, especially in the lateral funiculus (Fig. 2A and D). At the injury epicenter at 2 weeks post-injury, lesion area reached a
maximum of 61±5 % of total ipsilateral hemi-cord area and was located at the C5 level. At 6 weeks post-injury, the lesion area at the epicenter encompassed 42±4 % of total ipsilateral spinal cord area (p<0.05 compared with 2 weeks) (Fig. 2G) and extended away from the epicenter in both rostral (2.2 mm) and caudal (1.3 mm) directions. This degree of rostral-caudal extension of the lesion was slightly smaller at 2 weeks post-injury. When lesion areas at regions extending throughout the cervical spinal cord were analyzed using Cavalieri’s method,28 overall lesion volumes slightly increased over time between 2 and 6 weeks; however, this change was not statistically significant (0.7±0.1 mm³ and 1.0±0.1 mm³ respectively, p>0.05) (Fig. 2G). In addition, R stained sections were analyzed to quantify the area of unspared myelin in various white matter tracts. Myelin loss spread out in both rostral (2.2 mm) and caudal (1.1 mm) directions without any extension beyond the lesion previously detected by cresyl violet staining (Fig. 2H).

**Loss of total cervical motor neurons and phrenic motor neurons**

Cresyl violet stained sections of cervical spinal cord from mice that received a C4+ C5 contusion SCI were further analyzed for total counts of large ventral horn motor neurons at 2 and 6 weeks post-injury (inset in Fig. 2E). Compared with laminectomy-only sham animals, mice receiving cervical contusion showed significant loss of ipsilateral ventral horn motor neurons at multiple distances from the lesion epicenter at both 2 and 6 weeks (Fig. 3A). Interestingly, contralateral motor neuron numbers were significantly affected, but only at the level of the epicenter (Fig. 3B).

To specifically track the ipsilateral phrenic motor neuron pool, we injected cholera toxin β subunit (CTβ), a monosynaptic retrograde tracer, into the right hemidiaphragm. Motor neurons in the ventral horn that were labeled by retrograde transport of CTβ from the hemidiaphragm were concentrated within a relatively compact group of cells forming a linear column throughout the ipsilateral ventral horn (Fig. 3D). As seen in both transverse (Fig. 3C) and sagittal (Fig. 3D) sections, labeled neurons were located in the gray matter and exhibited morphology consistent with motor neurons. These results revealed that the large CTβ⁺ neurons in the ventrolateral region of C3–C5 were phrenic motor neurons. Mice subjected to cervical contusion SCI (Fig. 3F) showed an obvious loss in the number of CTβ⁺ motor neurons compared with the laminectomy control group (Fig. 3E). Quantification demonstrated a significant decrease in CTβ⁺ cells at multiple distances around the lesion epicenter (Fig. 3G). The total number of phrenic motor neurons was estimated at 71±16 motor neurons per animal after C4+ C5 injury compared with 163±18 in uninjured animals at 6 weeks (p<0.01) (Fig. 3H).

**Phrenic nerve axonopathy**

As phrenic motor neuron loss was clearly established, we sought to characterize downstream effects on the phrenic respiratory pathway. Ipsilateral phrenic nerves were examined on Toluidine blue-stained, semi-thin sections. Numerous myelin ovoids and
obvious axonal degeneration surrounded by large areas of fibrous tissue were detected following C4 + C5 injury (Fig. 4B), whereas the general morphology of myelinated fibers in uninjured animals appeared completely normal (Fig. 4A). Axon density in the phrenic nerve was significantly reduced in injured groups at 2 weeks and 6 weeks following injury (8,899 ± 686 and 8,253 ± 1,079 axons/mm² respectively) compared with the laminectomy group (12,421 ± 1,079 axons/mm², p < 0.05) (Fig. 4C). Compared with laminectomy control, the mean cross-sectional area of individual axons was not significantly affected in the injury condition at either time point.
change significantly across conditions.

Qualitatively, none of the groups showed gross morphological destruction suggestive of Wallerian degeneration (Fig. 4F), whereas the sham laminectomy group did not display any altered morphology (Fig. 4E).

Normal diaphragm histology with NMJ abnormalities

The histological appearance of hemidiaphragm muscles from laminectomy-only and injured mice was analyzed (Fig. 5A and B). Qualitatively, none of the groups showed gross morphological changes. Although hemidiaphragm muscles were extensively investigated for total muscle thickness (Fig. 5C and D) and myofiber size (Fig. 5E) at five locations, no differences between uninjured and C4 + C5 injured animals were detected.

To examine whether phrenic motor neuron loss and/or other injury-induced changes resulted in pathological alterations at the diaphragm NMJ, hemidiaphragm muscles were examined from laminectomy and injured animals 2 and 6 weeks post-injury. For this purpose, the hemidiaphragm was divided into three regions (ventral, central and dorsal) (Fig. 5F) for analysis, as the rostrocaudal axis of the phrenic motor neuron pool topographically maps onto the ventro-dorsal axis of the diaphragm.39 Ipsilateral hemidiaphragm from the sham group showed typical NMJ morphology (Fig. 5H), including thick pre-terminal axons and complete overlap of the pre-synaptic axon and post-synaptic acetylcholine receptors domains. In the injured mice, we observed severe NMJ disruption at the dorsal-most regions of the muscle (Fig. 5J), as well as milder effects at the central region, whereas the ventral part of the hemidiaphragm was mostly spared. To specifically characterize the types of changes occurring over time, NMJ morphologies were broken down into a number of phenotypic categories: intact (Fig. 5I), partially denervated (Fig. 5K), fully denervated (Fig. 5L), multiply innervated (Fig. 5M) and pre-terminal axon thinning (Fig. 5N). Uninjured animals had an average of 99.0% intact NMJs across all regions of the hemidiaphragm. Although quantification in injured animals demonstrated relative sparing of the ventral region (92.3 % of intact NMJs at 2 weeks), dorsal and central areas of the hemidiaphragm showed clear signs of synaptic abnormalities (Table 3). Specifically, only 39.0% of junctions ($p < 0.01$) in the central region and 6.9% of junctions ($p < 0.001$) in the dorsal region were completely intact (Fig. 5G). At 2 weeks post-injury, the dorsal area showed the greatest percentage of fully denervated junctions (refer to Table 3 for detailed analyses). At 6 weeks post-injury, signs of attempted reinnervation became obvious, as significant numbers of multiply reinnervated junctions were observed in central and dorsal regions (9.5 %, $p < 0.05$ and 13.9%, $p < 0.01$; compared with 0.0% in the laminectomy group) and the global number of denervated junctions decreased. Similarly, significant numbers of NMJs exhibited thin pre-terminal axon profiles (9.3 %, $p < 0.05$ and 12.3%, $p < 0.001$; compared with 0.6% in the laminectomy group) (Fig. 5N), which, along with multiply innervated NMJs (Fig. 5M), is characteristic of reinnervation after denervation.39 It is noteworthy that even at 6 weeks post-injury, the total numbers of intact junctions in the affected regions of the diaphragm were still significantly lower than in the laminectomy group ($p < 0.05$ in the central region and $p < 0.001$ in the dorsal region) (Fig. 5G).

Discussion

We previously demonstrated that both single (C4 only) and double (C3 + C4) unilateral contusion injuries at mid-cervical levels targeting the phrenic nucleus can be generated in the rat, resulting in long-lasting deficits in diaphragm function.29 The present study demonstrates that a similar contusion paradigm at both C4 and C5 levels can be generated in mice. To the best of our knowledge, the present work is the first to characterize a consistent experimental model of mid-cervical spinal contusion in mice that results in phrenic motor neuron degeneration and long-lasting unilateral deficits in diaphragm function. This work also addresses a gap in the knowledge regarding peripheral changes in respiratory circuitry following cervical contusion SCI.
Because of the small size of the mouse, delivering a significant impact that produces quantifiable deficits in diaphragm function without a significant rate of mortality is a challenge following cervical contusion injuries. Because of potential effects on mortality, we chose to unilaterally target the phrenic motor neuron pool to preserve as much of the contralateral population as possible, while still significantly affecting ipsilateral hemidiaphragm function. The unilateral nature of the present injury likely allowed for a large proportion of animals to survive without the need for respiratory assistance. Nevertheless, a substantial number of animals still died (~30%) almost immediately following injury. The exact cause of death was not determined; however, the gasping observed in these mice during the initial minutes post-impact suggests that they died of respiratory failure. Previously developed contusion injuries at mid-cervical levels in rodents have also been associated with respiratory complications.  

Interestingly, the C5 impact was almost never associated with mortality in the present study, suggesting a greater susceptibility of overall ventilation to contusion injury at the C4 region. Preliminary data from our laboratory showed that generation of a single impact at the C4 level only was sufficient to obtain long-lasting deficits in ipsilateral hemidiaphragm function (not shown); however, the double C4+C5 injury paradigm resulted in significantly greater functional compromise. Within 2 days post-injury, all mice resumed grooming and self-feeding behaviors and reliably displayed ipsilateral forelimb impairment. This clinical observation is in agreement with previous studies of cervical contusion in rat  and mice. 

Histological analysis of the spinal cord revealed features of spinal contusion typically observed in mice, including scar tissue, cell infiltration and absence of cystic cavity formation. The injury produced gray and white matter disruption at C4 and C5 levels that extended rostrally and caudally to C3 and C6, respectively, especially in white matter locations. Intriguingly, lesion size did not significantly increase between 2 and 6 weeks post-injury. Although edema and hemorrhage occurring during the acute phases of SCI resolve within days, secondary injury processes can cause additional cell death, tissue loss, and dysfunction for extended periods of time post-injury. It is likely that in this mouse model secondary degeneration is mostly complete within the first 2 weeks, as no further histological changes are detectable beyond this time window, at least when examined using conventional staining approaches. Future work needs to examine possible ongoing pathological events, including axonal damage and glial cell death, at later time points with appropriate methods.

Similarly, we did not observe any significant differences in total motor neuron numbers between 2 and 6 weeks post-injury, suggesting no expansion of motor neuron cell loss beyond 2 weeks. Therefore, the therapeutic window for protecting phrenic motor neurons from secondary damage occurs between acute stages and 2 weeks post-injury; however, we do not provide any data regarding the temporal pattern of phrenic motor neuron loss occurring prior to 2 weeks post-injury. These types of analyses are crucial, as it is possible that the majority of motor neuron loss occurs during early stages post-injury (i.e., the first day or thereabouts).

Similar to previous studies in rodents, we localized the phrenic motor neuron pool mostly to levels C3–C5,  with the highest concentration of labelled cells found at the C4 level. According to total counts of CTβ+ motor neurons, an ~56% loss of ipsilateral phrenic motor neurons was observed following injury at 6 weeks. As we injected the CTβ tracer at 4 weeks post-injury and euthanized the animals at 6 weeks post-injury, the reduced numbers of CTβ-labelled neurons could be explained in several ways in addition to phrenic motor neuron cell death, including impaired retrograde transport of the tracer caused by phrenic axon pathology and/or severe denervation at the diaphragm NMJ. We have noted that the CTβ fluorescent signal is gradually lost from phrenic motor neuron cell bodies at late time points post-injection. In order to avoid this issue, we chose to inject tracer into all mice close to the time of perfusion.

This injury paradigm also damaged white matter in the dorsolateral, lateral, and ventrolateral funiculi. Previous anterograde anatomical studies demonstrated that descending respiratory pathways that project to the phrenic nucleus are located in two regions of cervical spinal cord white matter: the lateral and ventromedial funiculi. In addition to loss of motor neuron cell bodies of the phrenic nucleus, double contusion injury targeting C4 and C5 levels also resulted in rostral extension of the lesion to the C3 segment. At this rostral level, damage specifically to lateral funiculus was observed, which might have resulted in additional effects on spontaneous diaphragm EMG recordings due to axonal damage and/or demyelination of the descending bulbo spinal respiratory fibers.

For the first time, we have addressed the downstream pathological events occurring at the level of the phrenic nerve–
Table 3. Neuromuscular Junction (NMJ) Analysis

<table>
<thead>
<tr>
<th></th>
<th>Laminctomy</th>
<th>C4+C5 at 2 weeks</th>
<th>C4+C5 at 6 weeks</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Ventral</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intact</td>
<td>99.4±2.3</td>
<td>92.3±2.5</td>
<td>92.5±3.8</td>
</tr>
<tr>
<td>Fully denervated</td>
<td>0.0±0.0</td>
<td>0.7±0.7</td>
<td>1.9±1.3</td>
</tr>
<tr>
<td>Partially denervated</td>
<td>0.0±0.0</td>
<td>1.0±0.6</td>
<td>2.4±1.2</td>
</tr>
<tr>
<td>Multiply innervated</td>
<td>0.0±0.0</td>
<td>2.4±1.5</td>
<td>1.2±0.8</td>
</tr>
<tr>
<td>Thin axons</td>
<td>0.6±0.3</td>
<td>3.6±1.9</td>
<td>2.0±1.0</td>
</tr>
<tr>
<td><strong>Central</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intact</td>
<td>99.3±0.5</td>
<td>39.0±11.0</td>
<td>68.4±10.1</td>
</tr>
<tr>
<td>Fully denervated</td>
<td>0.0±0.0</td>
<td>18.5±7.3</td>
<td>2.5±0.3</td>
</tr>
<tr>
<td>Partially denervated</td>
<td>0.1±0.1</td>
<td>28.4±5.0</td>
<td>10.3±4.4</td>
</tr>
<tr>
<td>Multiply innervated</td>
<td>0.0±0.0</td>
<td>6.0±1.3</td>
<td>9.5±3.3</td>
</tr>
<tr>
<td>Thin axons</td>
<td>0.6±0.4</td>
<td>8.0±0.4</td>
<td>9.3±3.1</td>
</tr>
<tr>
<td><strong>Dorsal</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intact</td>
<td>98.4±1.0</td>
<td>6.9±3.0</td>
<td>21.7±11.4</td>
</tr>
<tr>
<td>Fully denervated</td>
<td>0.0±0.0</td>
<td>55.2±7.8</td>
<td>21.8±1.6</td>
</tr>
<tr>
<td>Partially denervated</td>
<td>1.0±0.5</td>
<td>25.8±6.4</td>
<td>30.3±8.5</td>
</tr>
<tr>
<td>Multiply innervated</td>
<td>0.0±0.0</td>
<td>5.9±1.7</td>
<td>13.9±2.0</td>
</tr>
<tr>
<td>Thin axons</td>
<td>0.6±0.6</td>
<td>6.2±0.7</td>
<td>12.3±1.0</td>
</tr>
</tbody>
</table>

NMJs were analysed in three regions of the ipsilateral hemidiaphragm and assigned to different morphological categories (intact, fully denervated, partially denervated, multiply innervated, preterminal thin axon). Whereas the ventral region was relatively spared following double C4+C5 injury (92.3%), central and dorsal areas displayed significant loss of intact NMJs (39% and 6.9%, respectively) as early as 2 weeks post-injury. Abnormal NMJs were chronically reduced at 6 weeks post-injury to the same extent as 2 weeks post-injury.

Overall, the in vivo electrophysiological findings support the notion that the entire phrenic motor neuron–diaphragm respiratory pathway is chronically affected in this cervical contusion SCI paradigm. By measuring spontaneous EMG recordings under eupneic conditions in the present study, we found several alterations at 6 weeks post-injury, including decreased burst frequency and increased burst duration. Burst frequency and duration are rhythmic diaphragmatic parameters thought to be primarily regulated by descending bulbospinal input to the phrenic nucleus.23–30 Changes in diaphragm EMGs observed following contusion injury likely depend both on the location and degree of spared phrenic motor neurons (~44% in the present study, most of them rostral to the C4 level) and on sparing/plasticity of descending bulbospinal respiratory input. In particular, we show that the ventromedial funiculus of the ipsi-lesioned spinal cord remained visually undamaged (i.e., intact, myelinated axons) rostral to C4. This sparing differs from the extensive damage observed in the lateral funiculus, the location of the primary descending respiratory tract. In uninjured animals, the ventromedial tract appears to act as a minor contributor to phrenic motor neuron activity. Following partial lateral C2 hemisection, long-term ipsilateral phrenic activity depends on this alternative descending respiratory pathway, independently of the lateral crossed phrenic pathway.39–41 In our model, the spared ventromedial region might mediate respiratory plasticity leading to functional network reorganization and consequent long-term modification of diaphragm EMG activity. Although not explored in the current study, these long-term diaphragm changes could also be caused by mechanisms such as morphological plasticity and changes in intrinsic excitability and inhibitory synaptic input to spared ipsilateral phrenic motor neurons, as well as plasticity in respiratory interneuron populations of the cervical spinal cord.42–45
Conclusion

In summary, we have described in the present report a model of mid-cervical spinal cord contusion in the adult mouse that induces unilateral diaphragm deficits within 2 weeks post-injury and that persists for at least 6 weeks. We have demonstrated that phrenic nerve conduction studies and diaphragm EMG recordings can serve as reliable, long-term functional outcome measures of respiratory dysfunction in models of cervical SCI. We speculate that degeneration of the phrenic motor nucleus ipsilateral to hemiconusion primarily accounts for the chronic nature of diaphragm deficits. Despite the plasticity described in respiratory pathways following various types of cervical SCI, the level of motor neuron degeneration described in this study still resulted in persistent diaphragm dysfunction. From a translational perspective, this would represent a compelling example of gray matter repair being an important option for SCI therapy.

With no need for respiratory assistance, our model is functionally and histologically reproducible and clinically relevant to a significant percentage of human SCI cases. Several contusion models at mid-cervical levels (C3, C4, C5) that show chronic abnormalities in respiratory parameters have been developed in the rat.22–25 The extension of a contusion injury model to the mouse provides opportunities for manipulating genes of interest and for evaluating their role in relevant outcome measures affected by cervical spinal contusion such as forelimb motor function, respiratory function, and neuropathic pain.

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Author Disclosure Statement

No competing financial interests exist.

References


