

Thomas Jefferson University Jefferson Digital Commons

Department of Pathology, Anatomy, and Cell **Biology Faculty Papers**

Department of Pathology, Anatomy, and Cell Biology

6-6-2017

Intratumoral heterogeneity analysis reveals hidden associations between protein expression losses and patient survival in clear cell renal cell carcinoma.

Wei Jiang Thomas Jefferson University

Essel Dulaimi Fox Chase Cancer Center

Karthik Devarajan Fox Chase Cancer Center

Theodore Parsons Followathis and additional works at: https://jdc.jefferson.edu/pacbfp

Part of the Oncology Commons, and the Pathology Commons Giong Wang LIGITAL STEP OWITH ACCESS TO THIS DOCUMENT BENEfITS YOU

Recommended Citation See next page for additional authors

Jiang, Wei; Dulaimi, Essel; Devarajan, Karthik; Parsons, Theodore; Wang, Qiong; O'Neill, Raymond; Solomides, Charalambos C.; Peiper, Stephen C.; Testa, Joseph R.; Uzzo, Robert; and Yang, Haifeng, "Intratumoral heterogeneity analysis reveals hidden associations between protein expression losses and patient survival in clear cell renal cell carcinoma." (2017). Department of Pathology, Anatomy, and Cell Biology Faculty Papers. Paper 219. https://jdc.jefferson.edu/pacbfp/219

This Article is brought to you for free and open access by the Jefferson Digital Commons. The Jefferson Digital Commons is a service of Thomas Jefferson University's Center for Teaching and Learning (CTL). The Commons is a showcase for Jefferson books and journals, peer-reviewed scholarly publications, unique historical collections from the University archives, and teaching tools. The Jefferson Digital Commons allows researchers and interested readers anywhere in the world to learn about and keep up to date with Jefferson scholarship. This article has been accepted for inclusion in Department of Pathology, Anatomy, and Cell Biology Faculty Papers by an authorized administrator of the Jefferson Digital Commons. For more information, please contact: JeffersonDigitalCommons@jefferson.edu.

Wei Jiang, Essel Dulaimi, Karthik Devarajan, Theodore Parsons, Qiong Wang, Raymond O'Neill, Charalambos C. Solomides, Stephen C. Peiper, Joseph R. Testa, Robert Uzzo, and Haifeng Yang			
This article is available at Jofferson Digital Commons: https://ide.iofferson.edu/pachfn/210			

Authors

Research Paper

Intratumoral heterogeneity analysis reveals hidden associations between protein expression losses and patient survival in clear cell renal cell carcinoma

Wei Jiang^{1,*}, Essel Dulaimi^{2,*}, Karthik Devarajan³, Theodore Parsons¹, Qiong Wang¹, Raymond O'Neill¹, Charalambos Solomides¹, Stephen C. Peiper¹, Joseph R. Testa⁴, Robert Uzzo⁵, Haifeng Yang¹

Correspondence to: Haifeng Yang, email: haifeng.yang@jefferson.edu Karthik Devarajan, email: Karthik.Devarajan@fccc.edu

Keywords: clear cell renal cell carcinoma, immunohistochemistry, intratumoral heterogeneity, overall survival, SWI/SNF

Received: February 14, 2017 Accepted: March 27, 2017 Published: April 08, 2017

Copyright: Jiang et al. This is an open-access article distributed under the terms of the Creative Commons Attribution License (CC-BY), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

ABSTRACT

Intratumoral heterogeneity (ITH) is a prominent feature of kidney cancer. It is not known whether it has utility in finding associations between protein expression and clinical parameters. We used ITH that is detected by immunohistochemistry (IHC) to aid the association analysis between the loss of SWI/SNF components and clinical parameters.160 ccRCC tumors (40 per tumor stage) were used to generate tissue microarray (TMA). Four foci from different regions of each tumor were selected. IHC was performed against PBRM1, ARID1A, SETD2, SMARCA4, and SMARCA2. Statistical analyses were performed to correlate biomarker losses with patho-clinical parameters. Categorical variables were compared between groups using Fisher's exact tests. Univariate and multivariable analyses were used to correlate biomarker changes and patient survivals. Multivariable analyses were performed by constructing decision trees using the classification and regression trees (CART) methodology. IHC detected widespread ITH in ccRCC tumors. The statistical analysis of the "Truncal loss" (root loss) found additional correlations between biomarker losses and tumor stages than the traditional "Loss in tumor (total)". Losses of SMARCA4 or SMARCA2 significantly improved prognosis for overall survival (OS). Losses of PBRM1, ARID1A or SETD2 had the opposite effect. Thus "Truncal Loss" analysis revealed hidden links between protein losses and patient survival in ccRCC.

INTRODUCTION

What is intratumoral heterogeneity (ITH)?

One or a few cancerous cells with a few founding mutation(s) are the origins of tumors, then during tumor development additional mutations occurred to aid progression [1]. Consequently in many cancers different regions of a tumor share the same founding mutations but have different mutations that happened later. This regionally mixed mutational landscape is defined as

Intratumoral Heterogeneity (ITH). ITH was discovered in many types of cancers including leukemia [2], glioblastoma [3], colon [4], pancreatic [5], ovarian [6], breast [7] and clear cell renal cell carcinoma (ccRCC) cancers [8, 9]. ITH suggest that tumor development occurs in a branched fashion instead of a linear one.

ITH and mutations in ccRCC

In ccRCC the loss of function of von-Hippel Lindau tumor suppressor (*VHL*) happens in around 80% of tumors.

¹Department of Pathology, Anatomy and Cell Biology, Thomas Jefferson University, Philadelphia, PA, United States

²Department of Pathology, Fox Chase Cancer Center, Philadelphia, PA, United States

³Biostatistics, Fox Chase Cancer Center, Philadelphia, PA, United States

⁴Cancer Biology, Fox Chase Cancer Center, Philadelphia, PA, United States

⁵Department of Surgical Oncology, Fox Chase Cancer Center, Philadelphia, PA, United States

^{*}These authors contributed equally to this work

It is inactivated through DNA mutations or promoter hypermethylation, and it is the founding mutation for ccRCC [10]. The familial VHL syndrome, which includes ccRCC as one of the lesions, is caused by germline *VHL* mutations. In recent years, large-scale sequencing studies identified additional mutated tumor suppressors [11–13]. Around 40% of ccRCC tumors were found to harbor mutations in polybromo-1 (*PBRM1*), a component of a SWI/SNF chromatin-remodeling complex [11]. In addition, 10–15% of ccRCC tumors have inactivating mutations in either BRCA1-associated protein 1 (*BAP1*) or SET domain containing 2 (*SETD2*), a histone deubiquitinase and a histone methyltransferase respectively [12].

Gerlinger et al discovered that ITH was very prevalent in ccRCC [9]. They also identified convergent phenotypic evolution. In the same tumor, distinct mutations at different parts of the tumor could inactivate the same tumor suppressor genes such as *SETD2*, Phosphatase And Tensin Homolog (*PTEN*), and Lysine Demthylase 5C (*KDM5C/JARID1C*). In their analysis of eight kidney cancer samples, only chromosome 3p loss and *VHL* aberrations were present in all the cases. They were called truncal losses (root and ubiquitous losses) [8]. In tumors with *PBRM1* mutations, half of them were truncal [8].

Can ITH be examined by IHC? can ITH be useful in predicting clinical outcome?

The ITH in ccRCC was primarily studied with Next Gen Sequencing (NGS). It provided high quality data and great resolution, but it is expensive and labor intensive. Consequently the number of the analyzed samples is small which prevented statistical analysis to correlate with clinical parameters. We investigated whether IHC could successfully characterize ITH. We further investigated whether the ITH analysis at a much larger scale could reveal hidden correlations between the loss of biomarkers and clinical parameters.

RESULTS

Immunohistochemical analysis of ccRCC foci on tissue microarray (TMA)

The demographic, pathological and clinical parameters of the ccRCC patients we selected for this study are presented in Table 1. We excised four foci from different areas from each tumor to construct TMA. In our previous publication we examined the specificity of the antibodies with cells expressing shRNA against target proteins and found them to be specific [14]. In addition many of these antibodies revealed expression losses when mutations in the target genes were detected [15–19]. With validated antibodies we stained five sets of the TMA. We found that all five proteins were stained primarily in the

nucleus (Figure 1). This is consistent with the known roles of these proteins as chromatin regulators.

The summary of protein expression losses in tumors and foci

To be consistent with rule on DNA mutation calling in tumors, we decided that if the expression of a marker was gone in one focus out of four foci from a tumor, then that tumor had a loss of expression of that marker. The detailed tally of the protein expression loss was described before [14]. We found that 31% of tumors lost expression of PBRM1. In addition, 51% of them lost ARID1A, 14% of them lost SETD2, 15% of them lost SMARCA4, and 38% of them lost SMARCA2 expressions (Table 2). If the loss of protein expression was calculated with foci, 17%, 32%, 6.1%, 6.9% and 22% of foci lost the expressions of PBRM1, ARID1A, SETD2, SMARCA4, and SMARCA2 respectively (Table 2).

The truncal loss analysis revealed hidden links between biomarker losses and tumor stages

The relationships between different molecular events can be inferred by clonal ordering [20], and a phylogenetic tree can be constructed to represent this. If a molecular event is a founding one, it will be present in most regions of a tumor. We call it a truncal (early or root) change. Conversely, if a molecular event arises late during tumor development, this change might be only detected in one or two foci. We call it a branch (late) change. Tumor #7 from the stage 1 group provided an example: the SMARCA2 loss was a truncal event, PBRM1 loss was a branch event, while ARID1A and SMARCA4 losses were branch events that happened even later (Figure 2A).

Next we examined the truncal changes that occurred in these tumors. Each tumor stage was represented by 40 tumors, and 23, 23, 26, 30 cases from stage 1 to 4 had protein expression losses respectively (Figure 2B). For brevity, we called the protein losses A (ARID1A loss), P (PBRM1 loss), S (SETD2 loss), G (SMARCA4/BRG1 loss), M (SMARCA2/BRM loss). We grouped the protein losses into three camps: Only Truncal Loss (it includes tumors with truncal loss that is the only truncal loss), Truncal Loss (Total) (it includes tumors with truncal loss, either alone or in combination), or the Loss in Tumor (Total) (it includes all the tumors with protein losses). We then used Fisher's exact tests to examine whether the biomarker losses were statistically associated with high tumor stage (stage 4). In the case of PBRM1, the loss frequencies increased with stage and the associations between truncal loss groups with high stage had much smaller p values than that of Loss in Tumor (Total), which suggested higher confidence (Figure 2C). For SMARCA2, the loss frequency decreased when stage increased. The truncal loss groups had very small p values, while that of

Table 1: Characteristics of ccRCC patients included in this study

Number of patients	160
Age [average (range)]	59.7 (23–82)
Gender	
Male	118
Female	42
Race	
African American	9
Caucasian	148
Other	3
Grade	
Grade 1	11
Grade 2	37
Grade 3	71
Grade 4	40
Path T Stage	
T1	47
T2	51
Т3	60
T4	2
N Stage	
N0	118
N1 and N2	13
NX	29
M Stage	
M0	102
M1	37
MX	21
TNM Stage	
1	40
2	40
3	40
4	40
Histology	<u> </u>
Clear Cell only	160

Loss in Tumor (Total) was a borderline 0.05 (Figure 2C). For ARID1A, the higher stages had more protein losses, but just the Only Truncal Loss group had a statistically significant association with high stage (Figure 2C). SMARCA4 loss did not show any statistically significant association with high stage (Figure 2C). As for SETD2, only the Truncal Loss (Total) group was significantly associated with high stage (p = 0.032) (Figure 2C).

The truncal loss analysis reveals hidden associations between protein losses and patient survival

It is not known whether truncal losses of protein markers would reveal statistically different associations

with patient survival than those of total losses. Cox proportional hazards (PH) models were utilized to correlate recurrence-free survival (RFS) with biomarker losses. For the protein losses, two groups of protein losses were used for analysis: one included all the truncal losses (Proteinname.Truncal). The other one included all the protein losses (Proteinname.Total). In univariate analyses, SETD2.Total, SMARCA2.Total, SMARCA4.Truncal, and SMARCA2.Truncal displayed a significant association with RFS with *p* values near or below 0.05 while SMARCA4. Total and SETD2.Truncal showed marginally significant associations (Table 3). In further multivariable analysis, only SETD2.Total's association with RFS remained statistically significant. The Kaplan-Meier curve showed that patients that lost SETD2 staining in tumors had shorter

Table 2: Summary of protein expression losses in ccRCC tumors

	Tumors with protein expression loss	Foci with protein expression loss
PBRM1	31% (49/160)	17% (108/638)
ARID1A	51% (81/160)	32% (202/638)
SETD2	14% (23/160)	6.1% (39/638)
SMARCA4	15% (24/160)	6.9% (44/638)
SMARCA2	38% (61/160)	22% (143/638)

RFS (Figure 3). Thus, most of the markers do not appear to be associated with RFS and the ITH analysis did not help.

The overall survival (OS) is clinically crucial and has greater importance than RFS. In univariate analyses, tests of association between ARID1A.Total, SETD2. Total, SMARCA2.Total, PBRM1.Truncal, SMARCA4.

Truncal, SMARCA2.Truncal and OS showed *p* values below or near 0.1 (Table 4). They were used for further multivariable analysis. With the exception of SMARCA2. Truncal, all the other biomarker losses showed a statistically significant association with OS. It was found that losses of SMARCA4.Truncal or SMARCA2.Total

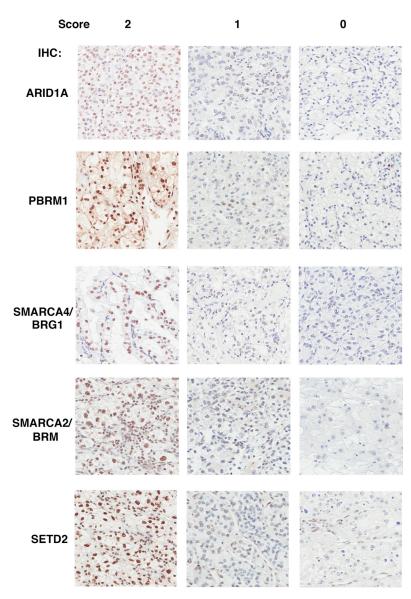
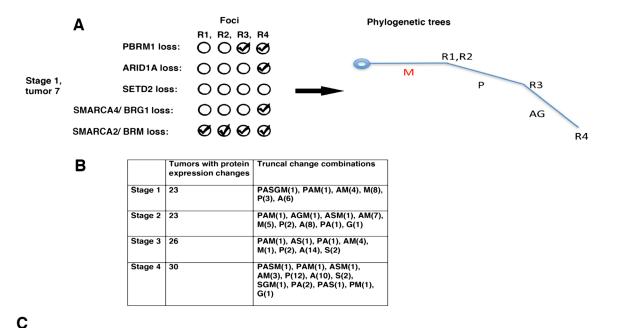


Figure 1: The Immunohistochemical analysis of ARID1A, PBRM1, SMARCA4, SMARCA2, SETD2 in ccRCC foci. Representative foci stained for different markers showing scores of 2, 1, 0 (left, middle, and right). The staining of stromal and immunological cells serves as internal positive controls.

were associated with significantly better prognosis for patients (hazard ratio of 2.55 and 3.59 respectively), while losses of ARID1A.Total, PBRM1.Truncal, or SETD2.Total were associated with worse prognosis (hazard ratio of 0.23, 0.42, and 0.3 respectively). Truncal loss counts only the cases with truncal protein expression losses, while the Total loss includes all the tumors with protein expression losses. The Kaplan-Meier curves showed the same trends (Figure 4).

In order to further analyze our data, classification and regression trees (CART) methodology was applied

to construct decision trees with multivariable analyses. RFS analysis revealed that patients whose SMARCA2. Truncal staining was negative (≤ 0) had significantly longer recurrence free survival than patients with positive SMARCA2 staining (Figure 5A). OS analysis revealed that patients whose SMARCA2. Truncal and PBRM1. Truncal staining were both negative showed the worst overall survival while those with negative SMARCA2. Truncal staining and positive PBRM1. Truncal staining showed the best overall survival (Figure 5B).



		PBRM1			SMARCA2/ BRM	
	The only truncal loss	Truncal loss (total)	Loss in tumor (total)	The only truncal loss	Truncal loss (total)	Loss in tumor (total)
stage 1	3	5	10	8	14	19
stage 2	2	4	12	5	15	18
stage 3	2	4	7	1	6	10
stage 4	12	18	20	0	8	14
p-value	0.0002	<0.0001	0.003	0.001	0.012	0.05

		ARID1A			SMARCA4/ BRG1	
	The only truncal loss	Truncal loss (total)	Loss in tumor (total)	The only truncal loss	Truncal loss (total)	Loss in tumor (total)
stage 1	6	12	16	0	1	6
stage 2	8	19	20	1	2	10
stage 3	14	21	22	0	0	3
stage 4	10	19	23	1	2	5
p-value	0.028	0.2	0.21	1	1	0.12

		SETD2	
	The only truncal loss	Truncal loss (total)	Loss in tumor (total)
stage 1	0	1	2
stage 2	0	1	5
stage 3	2	4	6
stage 4	3	6	10
p-value	0.06	0.032	0.07

Figure 2: The truncal loss analysis revealed hidden links between protein loss and tumor stages. (A) How a phylogenetic tree was constructed. A: ARID1A loss; M: SMARCA2 loss; P: PBRM1 loss, G: SMARCA4 loss; S: SETD2 loss. (B) Truncal losses of the markers at each stage, either alone or in combination, were presented. (C) Fisher's exact tests were performed to calculate the *p* values of the associations between the protein marker losses and stages.

Table 3: Univariate and multivariable analyses of indicated biomarker losses and their associations with recurrence-free survival

Recurrence-free survival		
Univariate analysis	All patients (160)	
	Hazard Ratio (95% CI)	<i>p</i> value
ARID1A.Total	0.93 (0.59–1.46)	0.754
SETD2.Total	0.50 (0.28–0.89)	0.017
SMARCA4.Total	1.98 (0.98–4.01)	0.056
SMARCA2.Total	1.83 (1.12–3.0)	0.015
PBRM1.Truncal	0.79 (0.55–1.14)	0.210
SETD2.Truncal	0.72 (0.51–1.02)	0.065
SMARCA4.Truncal	1.85 (1.06–3.23)	0.031
SMARCA2.Truncal	1.79 (1.31–2.46)	0.0002
Multivariable analysis	All patients (160)	
	Hazard Ratio (95% CI)	<i>p</i> value
SETD2.Total	0.27 (0.08–0.90)	0.034
SMARCA4.Total	1.63 (0.55–4.82)	0.380
SMARCA2.Total	1.57 (0.74–3.36)	0.244
SETD2.Truncal	1.13 (0.61–2.11)	0.695
SMARCA4.Truncal	1.35 (0.64–2.85)	0.429
SMARCA2.Truncal	1.32 (0.85–2.07)	0.218

The biomarker loss was subjected to multivariable analysis if its p value was below 0.1. CI = confidence interval.

DISCUSSION

The loss of protein expression of important cancer genes can occur in a branched fashion or linear fashion in different parts of the same tumor (Figure 6). The branched fashion occurs in the vast majority of ccRCC tumors, constituting the intratumoral heterogeneity phenomena.

Intratumoral heterogeneity is one of the major reasons that cancers are hard to eradicate. A major trend in cancer therapies, precision medicine, based upon the notion that the tumors in each person need a few major driving DNA mutations for tumorigenesis and tumor maintenance, and the drugs that hit the vulnerabilities conferred by such mutations will lead to clinical efficacy. This was proven

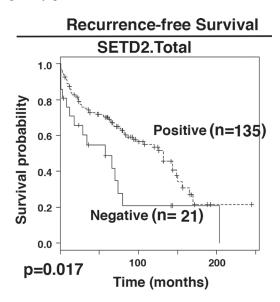


Figure 3: Kaplan-Meier analysis of recurrent free survival. The survival curves were calculated based on SETD2 staining: positive (1) and negative (0). Associated log-rank *p* value was indicated. n: number of cases.

Table 4: Univariate and multivariable analyses of indicated biomarker losses and their associations with overall survival

Overall survival		
Univariate analysis	All patients (160)	
	Hazard Ratio (95% CI)	p value
ARID1A.Total	0.69 (0.44–1.08)	0.104
SETD2.Total	0.50 (0.29–0.87)	0.014
SMARCA4.Total	1.55 (0.82–2.94)	0.182
SMARCA2.Total	1.50 (0.94–2.38)	0.085
PBRM1.Truncal	0.60 (0.42–0.85)	0.004
SETD2.Truncal	0.77 (0.54–1.09)	0.139
SMARCA4.Truncal	1.97 (1.13–3.45)	0.017
SMARCA2.Truncal	1.60 (1.18–2.17)	0.002
Multivariable analysis	All patients (160)	
	Hazard Ratio (95% CI)	p value
ARID1A.Total	0.23 (0.09–0.55)	0.001
SETD2.Total	0.30 (0.15–0.58)	0.0003
SMARCA2.Total	3.59 (1.62–7.94)	0.002
PBRM1.Truncal	0.42 (0.29–0.63)	2.45e-05
SMARCA4.Truncal	2.55 (1.38–4.71)	0.003
SMARCA2.Truncal	0.99 (0.66–1.48)	0.948

The biomarker loss was subjected to multivariable analysis if its p value was below 0.1. CI = confidence interval.

true in many cases: Gleevec for chronic myeloid leukemia (CML) [21] and gastrointestinal stromal tumors (GIST) [22], Gefitinib for non-small cell lung cancer carrying hyperactive and mutated EGFR [23], and Vemurafenib for melanoma [24]. These drugs do not kill dividing cells non-discriminately so they tend to be quite effective with mild side effects. Unfortunately, in most cases tumors would develop drug resistance sooner or later. In a certain tumor, ITH could mean that a small percentage of the cancer cells do not carry the driving mutations, so over time they would grow up after treatment. Alternatively, some cancer cells might also harbor other mutations or epigenetic changes that render them drug resistant [25].

The cost of DNA sequencing prevented it from being applied to large-scale analysis of ITH. We show that IHC analysis can describe ITH at a large scale [14]. With the result we first examined whether ITH is useful in finding correlations between protein losses and high tumor stage. For ARID1A and SETD2, the statistically significant associations between marker losses and tumor stages would have been missed if truncal loss analysis were not performed (Figure 2). Thus the ITH analysis is useful here. However, it is highly likely that many tumor-derived mutations in the cancer genes, especially the point mutations, do not lead to protein expression loss, so mutational analysis will improve the sensitivity of analysis.

Next we examined the links between marker losses and recurrence-free survival (RFS). Only SETD2.Total

showed a statistical meaningful association with RFS, and the loss of SETD2 led to shorter RFS (Table 3 and Figure 3). Thus these markers are mostly not very useful in predicting RFS, and ITH analysis did not help either.

The overall survival (OS) measures how long the patients survive after diagnosis. After multivariable analysis, ARID1A.Total, SETD2.Total, SMARCA2.Total, PBRM1.Truncal and SMARCA4.Truncal all showed statistically significant association with OS: the losses of ARID1A, SETD2, and PBRM1 were associated with worse prognosis for the patients, while the losses of SMARCA4 and SMARCA2 were associated with better prognosis (Table 4). Interestingly, for PBRM1 and SMARCA4, if the ITH analysis were not performed, their associations with the OS would not have been discovered. Thus ITH analysis also unearthed hidden associations between marker losses and overall survival.

We also used the CART methodology to perform multivariable analyses. The results were consistent with PH model results (Tables 3 and 4). Again, ITH analysis derived truncal losses were key to derive meaningful associations between marker losses and patient survivals in this type of association analysis.

ARID1A is a specificity subunit of the SWI/SNF chromatin-remodeling complex. Decreased ARID1A expression was prevalent, and it was statistically associated with shorter patient survivals [26]. Even though a very low percentage of ccRCC tumors harbor mutations

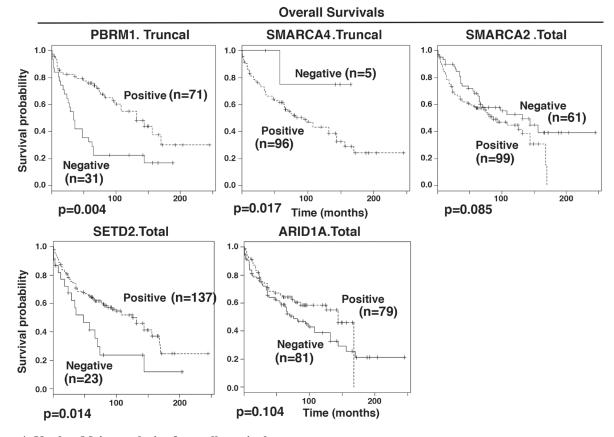


Figure 4: Kaplan-Meier analysis of overall survival. The survival curves were calculated based on biomarker staining: positive (1) and negative (0). Associated log-rank *p* values were indicated. n: number of cases analyzed.

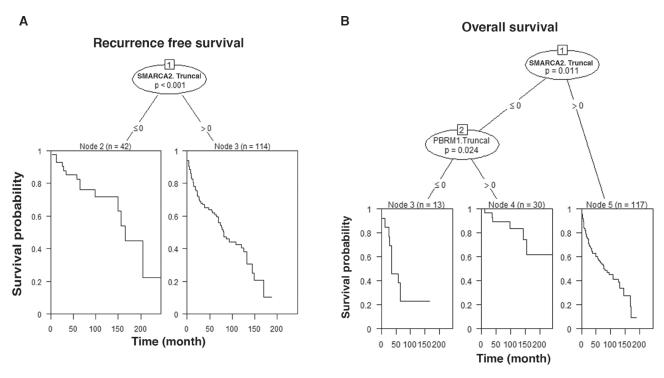


Figure 5: CART. The decision trees and the survival curves are calculated based on staining of indicated biomarkers: positive (> 0) and negative (≤ 0). Associated p value was indicated. n: number of cases analyzed. Truncal changes were derived from ITH analysis. (**A**) CART analysis of biomarkers with RFS; (**B**) CART analysis of biomarkers with OS.

in ARID1A, the high rate of ARID1A expression loss clearly indicates that it plays a critical role in cancer biology in ccRCC, and a clever way to take advantage of its loss to treat ccRCC is worth serious efforts to pursue. Decreased expression of SETD2 was also linked to unfavorable prognosis for patients with nonmetastatic ccRCC [27]. Both were consistent with our result. PBRM1 is another specificity subunit of the SWI/SNF complex. The contribution of PBRM1 mutations to the clinical outcome of ccRCC patients has been somewhat controversial [28–31]. Our analysis strongly suggests that PBRM1 loss is enriched at higher tumor stages (Figure 2) and is strongly associated with worse overall survival (Table 4 and Figure 4). SMARCA4 and SMARCA2 are two mutually exclusive catalytic subunits of the SWI/ SNF complex. Their protein losses in ccRCC were unknown. We found that SMARCA4 and SMARCA2 had prevalent expression loss in ccRCC tumors (Table 2). In both multivariable analyses, the SMARCA2 loss was strongly associated with longer patient overall survival. In Small Cell Carcinoma of the Ovary, Hypercalcaemic Type (SCCOHT) and Non-Small Cell Lung Cancer cell cells, SMARCA4 and SMARCA2 acted as tumor suppressors [19, 32]. Thus the tumor-promoting functions of SMARCA4 and SMARCA2 might be unique to ccRCC. Although the oncogenic pathways activated by SMARCA4 and SMARCA2 in the absence of PBRM1 or ARID1A are currently unknown, they can and should be identified in ccRCC cells and tumors with PBRM1 or ARID1A deficiency. The identification of these oncogenic pathways will prove useful to rationally design therapeutic strategies to treat ccRCC tumors with PBRM1 or ARID1A deficiency.

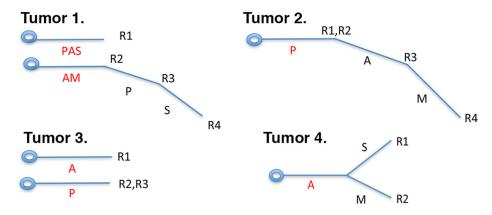
MATERIALS AND METHODS

Sample preparation and TMA preparation

A protocol approved by Fox Chase Cancer Center IACUC committee (IRB#13-810) was used to obtain written informed patient consent. Institutional guidelines and protocols were strictly followed when all samples were collected.

160 Patients diagnosed with clear cell renal cell carcinoma with available archived Paraffin fixed tissue were identified from Fox Chase cancer Center kidney database. 40 cases from each of the four tumor stages (Stage I–IV) were randomly picked. A pathologist reviewed all cases. From each tumor, four different areas were selected to cover the intratumoral heterogeneity. Eight tissue microarray blocks (TMA) were built at Fox Chase Cancer Center biorepository facility.

Branched phylogenetic trees



Linear phylogenetic trees



Figure 6: Branched or linear fashions of protein losses in ccRCC tumors. The branched fashion of protein losses can have two roots (tumors 1, 3) or one root (tumors 2, 4), but at different parts of the same tumor the protein losses were not uniform, and some protein losses were only present in a subset of tumor foci. In the case of linear fashion of protein loss, one or multiple proteins were lost at once in one or multiple foci of the tumors (tumors 5, 6).

Immunohistochemistry and scoring

The Ventana Discovery ULTRA staining platform with Discovery CCI (Ventana cat#950-500) was used for antigen retrieval. The total application time was 64 minutes. Primary immunostaining step utilized antibodies against PBRM1 1:50, ARID1A 1:250, SMARCA2 1:50, SMARCA4 1:200, SEDT2 1:100 in Ventana Antibody Dilution Buffer (Ventana cat #ADB250). The slides were incubated for 44 minutes at room temperature. Secondary immunostaining was done with a rabbit Horseradish Peroxidase (HRP) multimer cocktail (Ventana cat#760-500). The immune complexes were developed with the ultraView Universal DAB (diaminobenzidine tetrahydrochloride) Detection Kit (Ventana cat#760-500). After this the slides were washed with a Tris based reaction buffer (Ventana cat#950-300) and stained for 8 minutes with Hematoxylin II (Ventana cat #790-2208). The antibodies used for IHC are: PBRM1 (Bethyl labs, Cat# A301-591A), ARID1A (Sigma-Aldrich, Cat# HPA005456), SMARCA2 (Sigma-Aldrich, Cat# HPA029981), SMARCA4 (Abcam, Cat# ab110641), SETD2 (ProSci, Cat# 30-305).

Two pathologists (W.J., T.P.) performed the scoring of the stained foci independently. A score of 2 is given if greater than 50% of tumor cells were considered positive in a focus, 1 if less than 50% but greater than 5% of tumor cells were deemed positive, and 0 if less than 5% of tumor cells were positive. In the cases where the two pathologists gave different scores, they examined the foci together to reach a consensus. If one marker is scored as 0 in one focus, then that whole tumor is deemed to have a score of 0 for that marker.

Statistical analysis

Categorical variables were compared between groups using Fisher's exact tests. Univariate and multivariable Cox proportional hazards (PH) models were used to associate overall survival (OS) and recurrence-free survival (RFS) with grade, stage and biomarkers of interest. The markers included Arid1A. Total, SetD2. Total, SMARCA4. Total, SMARCA2. Total, PBRM1.Truncal, SetD2.Truncal, SMARCA4.Truncal and SMARCA2. Truncal. Estimates of hazard ratio (HR) including 95% confidence intervals were computed for each variable. Goodness-of-fit of the Cox PH model was assessed using Schoenfeld residuals [33]. For variables showing a time-varying effect on survival, weighted Cox regression methods were used to account for these effects by computing average HRs [34]. In addition, multivariable analyses were performed by constructing decision trees using the classification and regression trees (CART) methodology. A decision tree is a logical model represented as a binary tree that shows how the value of a response variable such as OS or RFS can be predicted by using the values of a set of clinical variables and biomarkers. The unified CART framework that embeds recursive binary partitioning into the theory of permutation tests was used [35]. This approach overcomes the problem of over-fitting and selection bias towards variables with many possible splits or missing values. It utilizes significance testing procedures and results in unbiased selection among variables measured at different scales. All tests were two-sided and used a Type I Error of 5% to determine statistical significance. Computations were performed in the R statistical language and environment using packages *survival* and *party* [36].

Abbreviations

Intratumoral heterogeneity (ITH); Immuno histochemistry (IHC); Tissue microarray (TMA); Clear cell Renal Cell Carcinoma (ccRCC); Phosphatase And Tensin Homolog (PTEN); Lysine Demethylase 5C (KDM5C/ JARID1C); Polybromo-1 (PBRM1); BRCA1-associated protein 1 (BAP1); SET domain containing 2 (SETD2); AT-Rich Interaction Domain 1A (ARID1A); SWI/SNF Related, Matrix Associated, Actin Dependent Regulator Of Chromatin, Subfamily A, Member 4 (SMARCA4/BRG1); SWI/SNF Related, Matrix Associated, Actin Dependent Regulator Of Chromatin, Subfamily A, Member 2 (SMARCA2/BRM); Classification and regression trees (CART); von-Hippel Lindau tumor suppressor (VHL); Next Gen Sequencing (NGS); Recurrence-free survival (RFS); Overall survival (OS); Chronic myeloid leukemia (CML); Gastrointestinal stromal tumors (GIST); Small Cell Carcinoma of the Ovary, Hypercalcaemic Type (SCCOHT).

Authors' contributions

WJ and TP performed the pathological analysis of the stained TMA. QW tally the results. RO, CS and SCP provided material support and performed the IHC. JRT, RU and ED generated the TMA. KD performed the statistical analysis. HFY provided conception of the project and designed the experiments, analyzed the data, supervised the execution of the research and wrote the manuscript.

CONFLICTS OF INTEREST

The authors declare no potential conflicts of interest.

FUNDING

This study was supported by 5R01CA155015 and 5P30CA056036-14 to Haifeng Yang, 5R01CA175691 to Joseph R. Testa, and P30 CA06927 to Karthik Devarajan. They are all from National Cancer Institute (US). The funder played no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

REFERENCES

1. Hanahan D, Weinberg RA. Hallmarks of cancer: the next generation. Cell. 2011; 144:646–74. doi: 10.1016/j. cell.2011.02.013.

- Anderson K, Lutz C, van Delft FW, Bateman CM, Guo Y, Colman SM, Kempski H, Moorman AV, Titley I, Swansbury J, Kearney L, Enver T, Greaves M. Genetic variegation of clonal architecture and propagating cells in leukaemia. Nature. 2011; 469:356–61. doi: 10.1038/nature09650.
- Sottoriva A, Spiteri I, Piccirillo SG, Touloumis A, Collins VP, Marioni JC, Curtis C, Watts C, Tavare S. Intratumor heterogeneity in human glioblastoma reflects cancer evolutionary dynamics. Proc Natl Acad Sci USA. 2013; 110:4009–14. doi: 10.1073/pnas.1219747110.
- Thirlwell C, Will OC, Domingo E, Graham TA, McDonald SA, Oukrif D, Jeffrey R, Gorman M, Rodriguez-Justo M, Chin-Aleong J, Clark SK, Novelli MR, Jankowski JA, et al. Clonality assessment and clonal ordering of individual neoplastic crypts shows polyclonality of colorectal adenomas. Gastroenterology. 2010; 138:1441–54, 54 e1–7. doi: 10.1053/j.gastro.2010.01.033.
- Campbell PJ, Yachida S, Mudie LJ, Stephens PJ, Pleasance ED, Stebbings LA, Morsberger LA, Latimer C, McLaren S, Lin ML, McBride DJ, Varela I, Nik-Zainal SA, et al. The patterns and dynamics of genomic instability in metastatic pancreatic cancer. Nature. 2010; 467:1109–13. doi: 10.1038/nature09460.
- Bashashati A, Ha G, Tone A, Ding J, Prentice LM, Roth A, Rosner J, Shumansky K, Kalloger S, Senz J, Yang W, McConechy M, Melnyk N, et al. Distinct evolutionary trajectories of primary high-grade serous ovarian cancers revealed through spatial mutational profiling. J Pathol. 2013; 231:21–34. doi: 10.1002/path.4230.
- Navin N, Kendall J, Troge J, Andrews P, Rodgers L, McIndoo J, Cook K, Stepansky A, Levy D, Esposito D, Muthuswamy L, Krasnitz A, McCombie WR, et al. Tumour evolution inferred by single-cell sequencing. Nature. 2011; 472:90–4. doi: 10.1038/nature09807.
- Gerlinger M, Horswell S, Larkin J, Rowan AJ, Salm MP, Varela I, Fisher R, McGranahan N, Matthews N, Santos CR, Martinez P, Phillimore B, Begum S, et al. Genomic architecture and evolution of clear cell renal cell carcinomas defined by multiregion sequencing. Nat Genet. 2014; 46:225–33. doi: 10.1038/ng.2891.
- Gerlinger M, Rowan AJ, Horswell S, Larkin J, Endesfelder D, Gronroos E, Martinez P, Matthews N, Stewart A, Tarpey P, Varela I, Phillimore B, Begum S, et al. Intratumor heterogeneity and branched evolution revealed by multiregion sequencing. N Engl J Med. 2012; 366:883–92. doi: 10.1056/NEJMoa1113205.
- Linehan WM, Vasselli J, Srinivasan R, Walther MM, Merino M, Choyke P, Vocke C, Schmidt L, Isaacs JS, Glenn G, Toro J, Zbar B, Bottaro D, Neckers L. Genetic basis of cancer of the kidney: disease-specific approaches to therapy. Clin Cancer Res. 2004; 10:6282S–9S. doi: 10.1158/1078-0432. CCR-050013.
- 11. Varela I, Tarpey P, Raine K, Huang D, Ong CK, Stephens P, Davies H, Jones D, Lin ML, Teague J, Bignell G, Butler A, Cho J, et al. Exome sequencing identifies frequent mutation

- of the SWI/SNF complex gene PBRM1 in renal carcinoma. Nature. 469:539–42. doi: 10.1038/nature09639.
- Dalgliesh GL, Furge K, Greenman C, Chen LN, Bignell G, Butler A, Davies H, Edkins S, Hardy C, Latimer C, Teague J, Andrews J, Barthorpe S, et al. Systematic sequencing of renal carcinoma reveals inactivation of histone modifying genes. Nature. 2010; 463:360–3. doi: 10.1038/Nature08672.
- Creighton CJ, Morgan M, Gunaratne PH, Wheeler DA, Gibbs RA, Gordon Robertson A, Chu A, Beroukhim R, Cibulskis K, Signoretti S, Vandin Hsin-Ta Wu F, Raphael BJ, Verhaak RG, and Cancer Genome Atlas Research Network. Comprehensive molecular characterization of clear cell renal cell carcinoma. Nature. 2013; 499:43–9. doi: 10.1038/ nature12222.
- 14. Jiang W, Dulaimi E, Devarajan K, Parsons T, Wang Q, Liao L, Cho EA, O'Neill R, Solomides C, Peiper SC, Testa JR, Uzzo R, Yang H. Immunohistochemistry Successfully Uncovers Intratumoral Heterogeneity and Widespread Co-Losses of Chromatin Regulators in Clear Cell Renal Cell Carcinoma. PLoS One. 2016; 11: e0164554. doi: 10.1371/journal.pone.0164554.
- Pena-Llopis S, Vega-Rubin-de-Celis S, Liao A, Leng N, Pavia-Jimenez A, Wang S, Yamasaki T, Zhrebker L, Sivanand S, Spence P, Kinch L, Hambuch T, Jain S, et al. BAP1 loss defines a new class of renal cell carcinoma. Nat Genet. 2012; 44:751–9. doi: 10.1038/ng.2323.
- Uhlen M, Fagerberg L, Hallstrom BM, Lindskog C, Oksvold P, Mardinoglu A, Sivertsson A, Kampf C, Sjostedt E, Asplund A, Olsson I, Edlund K, Lundberg E, et al. Proteomics. Tissue-based map of the human proteome. Science. 2015; 347:1260419. doi: 10.1126/science.1260419.
- Uhlen M, Oksvold P, Fagerberg L, Lundberg E, Jonasson K, Forsberg M, Zwahlen M, Kampf C, Wester K, Hober S, Wernerus H, Bjorling L, Ponten F. Towards a knowledgebased Human Protein Atlas. Nat Biotechnol. 2010; 28:1248–50. doi: 10.1038/nbt1210-1248.
- Ramos P, Karnezis AN, Craig DW, Sekulic A, Russell ML, Hendricks WP, Corneveaux JJ, Barrett MT, Shumansky K, Yang Y, Shah SP, Prentice LM, Marra MA, et al. Small cell carcinoma of the ovary, hypercalcemic type, displays frequent inactivating germline and somatic mutations in SMARCA4. Nat Genet. 2014; 46:427–9. doi: 10.1038/ng.2928.
- 19. Karnezis AN, Wang Y, Ramos P, Hendricks WP, Oliva E, D'Angelo E, Prat J, Nucci MR, Nielsen TO, Chow C, Leung S, Kommoss F, Kommoss S, et al. Dual loss of the SWI/SNF complex ATPases SMARCA4/BRG1 and SMARCA2/BRM is highly sensitive and specific for small cell carcinoma of the ovary, hypercalcaemic type. J Pathol. 2016; 238:389–400. doi: 10.1002/path.4633.
- Merlo LM, Pepper JW, Reid BJ, Maley CC. Cancer as an evolutionary and ecological process. Nat Rev Cancer. 2006; 6:924–35. doi: 10.1038/nrc2013.
- 21. Mauro MJ, Druker BJ. STI571: targeting BCR-ABL as therapy for CML. Oncologist. 2001; 6:233–8.

- 22. Demetri GD. Targeting the molecular pathophysiology of gastrointestinal stromal tumors with imatinib. Mechanisms, successes, and challenges to rational drug development. Hematol Oncol Clin North Am. 2002; 16:1115–24.
- Sequist LV, Bell DW, Lynch TJ, Haber DA. Molecular predictors of response to epidermal growth factor receptor antagonists in non-small-cell lung cancer. J Clin Oncol. 2007; 25:587–95. doi: 10.1200/JCO.2006.07.3585.
- Smalley KS. PLX-4032, a small-molecule B-Raf inhibitor for the potential treatment of malignant melanoma. Curr Opin Investig Drugs. 2010; 11:699–706.
- Sharma SV, Lee DY, Li B, Quinlan MP, Takahashi F, Maheswaran S, McDermott U, Azizian N, Zou L, Fischbach MA, Wong KK, Brandstetter K, Wittner B, et al. A chromatin-mediated reversible drug-tolerant state in cancer cell subpopulations. Cell. 2010; 141:69–80. doi: 10.1016/j.cell.2010.02.027.
- Lichner Z, Scorilas A, White NM, Girgis AH, Rotstein L, Wiegand KC, Latif A, Chow C, Huntsman D, Yousef GM. The chromatin remodeling gene ARID1A is a new prognostic marker in clear cell renal cell carcinoma. Am J Pathol. 2013; 182:1163–70. doi: 10.1016/j. ajpath.2013.01.007.
- Liu W, Fu Q, An H, Chang Y, Zhang W, Zhu Y, Xu L, Xu J. Decreased Expression of SETD2 Predicts Unfavorable Prognosis in Patients With Nonmetastatic Clear-Cell Renal Cell Carcinoma. Medicine (Baltimore). 2015; 94:e2004. doi: 10.1097/MD.00000000000000004.
- Joseph RW, Kapur P, Serie DJ, Eckel-Passow JE, Parasramka M, Ho T, Cheville JC, Frenkel E, Rakheja D, Brugarolas J, Parker A. Loss of BAP1 protein expression is an independent marker of poor prognosis in patients with low-risk clear cell renal cell carcinoma. Cancer. 2014; 120:1059–67. doi: 10.1002/cncr.28521.

- Hakimi AA, Chen YB, Wren J, Gonen M, Abdel-Wahab O, Heguy A, Liu H, Takeda S, Tickoo SK, Reuter VE, Voss MH, Motzer RJ, Coleman JA, et al. Clinical and pathologic impact of select chromatin-modulating tumor suppressors in clear cell renal cell carcinoma. Eur Urol. 2013; 63:848–54. doi: 10.1016/j.eururo.2012.09.005.
- Pawlowski R, Muhl SM, Sulser T, Krek W, Moch H, Schraml P. Loss of PBRM1 expression is associated with renal cell carcinoma progression. Int J Cancer. 2013; 132:E11–7. doi: 10.1002/ijc.27822.
- da Costa WH, Rezende M, Carneiro FC, Rocha RM, da Cunha IW, Carraro DM, Guimaraes GC, de Cassio Zequi S. Polybromo-1 (PBRM1), a SWI/SNF complex subunit is a prognostic marker in clear cell renal cell carcinoma. BJU Int. 2014; 113:E157–63. doi: 10.1111/bju.12426.
- Orvis T, Hepperla A, Walter V, Song S, Simon J, Parker J, Wilkerson MD, Desai N, Major MB, Hayes DN, Davis IJ, Weissman B. BRG1/SMARCA4 inactivation promotes non-small cell lung cancer aggressiveness by altering chromatin organization. Cancer Res. 2014; 74:6486–98. doi: 10.1158/0008-5472.CAN-14-0061.
- Grambsch P, Therneau T. Proportional hazards tests and diagnostics based on weighted residuals. Biometrika. 1994; 81:515–26.
- 34. Schemper M, Wakounig S, Heinze G. The estimation of average hazard ratios by weighted Cox regression. Stat Med. 2009; 28:2473–89. doi: 10.1002/sim.3623.
- Hothorn T, Hornik K, Zeileis A. Unbiased recursive partitioning: A conditional inference framework. J Comput Graph Stat. 2006; 15:651–74. 2006; vol.15, #3, pp.651–74.
- R Core Team. R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. 2014 URL http://www.R-project.org/.