

[Department of Pediatrics Faculty Papers](https://jdc.jefferson.edu/pedsfp) **Department of Pediatrics**

11-17-2023

Surveillance Cardiopulmonary Exercise Testing Can Risk-Stratify Childhood Cancer Survivors: Underlying Pathophysiology of Poor Exercise Performance and Possible Room for Improvement

Takeshi Tsuda Thomas Jefferson University

Kimberly Davidow Thomas Jefferson University

Gina D'Aloisio Thomas Jefferson University

Joanne Quillen Thomas Jefferson University

Follow this and additional works at: [https://jdc.jefferson.edu/pedsfp](https://jdc.jefferson.edu/pedsfp?utm_source=jdc.jefferson.edu%2Fpedsfp%2F144&utm_medium=PDF&utm_campaign=PDFCoverPages)

Part of the [Pediatrics Commons](https://network.bepress.com/hgg/discipline/700?utm_source=jdc.jefferson.edu%2Fpedsfp%2F144&utm_medium=PDF&utm_campaign=PDFCoverPages) Let us know how access to this document benefits you

Recommended Citation

Tsuda, Takeshi; Davidow, Kimberly; D'Aloisio, Gina; and Quillen, Joanne, "Surveillance Cardiopulmonary Exercise Testing Can Risk-Stratify Childhood Cancer Survivors: Underlying Pathophysiology of Poor Exercise Performance and Possible Room for Improvement" (2023). Department of Pediatrics Faculty Papers. Paper 144.

https://jdc.jefferson.edu/pedsfp/144

This Article is brought to you for free and open access by the Jefferson Digital Commons. The Jefferson Digital Commons is a service of Thomas Jefferson University's [Center for Teaching and Learning \(CTL\)](http://www.jefferson.edu/university/teaching-learning.html/). The Commons is a showcase for Jefferson books and journals, peer-reviewed scholarly publications, unique historical collections from the University archives, and teaching tools. The Jefferson Digital Commons allows researchers and interested readers anywhere in the world to learn about and keep up to date with Jefferson scholarship. This article has been accepted for inclusion in Department of Pediatrics Faculty Papers by an authorized administrator of the Jefferson Digital Commons. For more information, please contact: JeffersonDigitalCommons@jefferson.edu.

RESEARCH Open Access

Surveillance cardiopulmonary exercise testing can risk-stratify childhood cancer survivors: underlying pathophysiology of poor exercise performance and possible room for improvement

Takeshi Tsuda $^{1,3^\ast}$, Kimberly Davidow 2 , Gina D'Aloisio 1 and Joanne Quillen 2

Abstract

Background Asymptomatic childhood cancer survivors (CCS) frequently show decreased exercise performance. Poor exercise performance may indicate impaired future cardiovascular health.

Methods Cardiopulmonary exercise testing (CPET) was performed in asymptomatic off-treatment CCS (age ≥ 10 years). Patients were divided into Normal and Poor performance groups by %predicted maximum VO2 at 80%. Both peak and submaximal CPET values were analyzed.

Results Thirty-eight males (19 Normal, 19 Poor) and 40 females (18 Normal, 22 Poor) were studied. Total anthracycline dosage was comparable among 4 groups. The body mass index (BMI), although normal, and weight were significantly higher in Poor groups. Peak heart rate (HR) and peak respiratory exchange ratio (RER) were comparable in all four groups. Peak work rate (pWR)/kg, peak oxygen consumption (pVO2)/kg, peak oxygen pulse (pOP)/kg, and ventilatory anaerobic threshold (VAT)/kg were significantly lower, whereas heart rate (HR) increase by WR/kg (ΔHR/Δ[WR/kg] was significantly higher in Poor groups. Simultaneously plotting of weight & pVO2 and ΔHR/ΔWR & ΔVO2/ΔHR revealed a distinct difference between the Normal and Poor groups in both sexes, suggesting decreased skeletal muscle mass and decreased stroke volume reserve, respectively, in Poor CCS. The relationship between VAT and pVO2 was almost identical between the two groups in both sexes. Ventilatory efficiency was mildly diminished in the Poor groups.

Conclusions Decreased skeletal muscle mass, decreased stroke volume reserve, and slightly decreased ventilatory efficiency characterize Poor CCS in both sexes. This unique combined CPET analysis provides useful clinical biomarkers to screen subclinical cardiovascular abnormality in CCS and identifies an area for improvement.

Keywords Cardiotoxicity, Chemotherapy, Anthracycline, Biomarker, Risk-stratification, Cardiovascular health

*Correspondence: Takeshi Tsuda ttsuda@nemours.org ¹Nemours Cardiac Center, Nemours Children's Health, 1600 Rockland Rd, Wilmington, DE 19803, USA

EMC

2 Nemours Center for Cancer and Blood Disorders, Nemours Children's Health, Wilmington, DE 19803, USA ³ Department of Pediatrics, Sidney Kimmel Medical College at Thomas Jefferson University, Philadelphia, PA 19107, USA

© The Author(s) 2023. **Open Access** This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit<http://creativecommons.org/licenses/by/4.0/>. The Creative Commons Public Domain Dedication waiver [\(http://creativecommons.org/publicdomain/zero/1.0/](http://creativecommons.org/publicdomain/zero/1.0/)) applies to the data made available in this article, unless otherwise stated in a credit line to the data.

Background

Recent remarkable progress in cancer treatment has enabled nearly 85% of childhood cancer survivors (CCS) to live beyond 5 years after diagnosis $[1]$ $[1]$. Consequently, CCS develop varying degrees of long-term adverse health-related problems including relapse of primary malignancy, secondary malignancy, and cardiovascular complications $[1-4]$ $[1-4]$. Among those who survive their malignancy, cardiovascular disease is the third leading cause of morbidity and mortality following relapse of primary malignancy and occurrence of secondary cancer [[5](#page-9-2)]. Late cardiovascular complications are frequently insidious, progressive, pervasive, and irreversible. Early identification of high-risk candidates for cardiovascular diseases and initiation of appropriate management for asymptomatic CCS are essential for long-term cardiovascular health and prognosis [\[6](#page-9-3)].

To screen for cancer treatment-induced subclinical myocardial impairment, conventional echocardiographic surveillance has been recommended by multiple clinical guidelines to screen at-risk patients [[7,](#page-9-4) [8](#page-9-5)], but its reliability in detecting subtle subclinical myocardial impairment is limited. Multiple efforts have been made to recognize this subclinical cardiotoxicity, especially with advanced echocardiographic imaging and cardiac magnetic resonance imaging (CMRI), but the results are not consistent. A reliable clinical biomarker has not been identified to detect an early stage of slowly progressive cardiovascular abnormalities in CCS.

Cardiopulmonary exercise testing (CPET) is a useful, noninvasive method to assess cardiopulmonary fitness level in children and adolescents with heart disease [\[9](#page-9-6), [10\]](#page-9-7). Several studies have demonstrated that CCS have a significantly reduced exercise performance compared with their age-matched peers [[11](#page-9-8)[–14](#page-9-9)]. The underlying mechanisms of reduced exercise performance in these patients are complex and multifactorial and are induced by treatment-mediated systemic cytotoxicity in multiple organs. Poor exercise performance in CCS stems not only from direct myocardial impairment but also from musculoskeletal abnormalities, vascular dysfunction, endocrinopathies, neuropathies of peripheral and central nervous systems, and abnormal pulmonary function [[3,](#page-9-10) [15](#page-9-11)]. A recent study suggested a genetic predisposition to the development of cancer treatment-induced cardiac dysfunction [[16](#page-9-12)].

In this study, we investigated whether certain CPET parameters can serve as reliable clinical biomarkers to identify subclinical cardiovascular abnormalities. We hypothesized that our novel CPET approach in combining peak and submaximal parameters ("two-dimensional analysis" [[17,](#page-9-13) [18](#page-9-14)]) can delineate possible underlying mechanisms of poor exercise performance in CCS.

Methods

A retrospective chart review of CPET data from the database of the Exercise Laboratory, Nemours Cardiac Center, at Nemours Children's Health in Wilmington, DE, was conducted from 2018 to 2021. The study was approved by the Nemours Institutional Review Board.

Patients

We retrospectively studied asymptomatic CCS followed at the Cancer Survivorship Program, Nemours Center for Cancer and Blood disorders, at Nemours Children's Health in Wilmington, DE, who were referred for CPET to assess physical fitness levels. Inclusion criteria were (1) age≥10 years, (2) off cancer treatment≥1 year, (3) intact musculoskeletal system and neurological function, (4) body mass index $(BMI) < 30 \text{ kg/m}^2$, and (5) left ventricular shortening fraction (LVSF)≥28% or left ventricular ejection fraction (LVEF)≥55% by echocardiography. Age, sex, height, weight, and BMI of the patients were collected at the time of CPET. Primary diagnosis, age at diagnosis, cumulated dosage of anthracycline $(mg/m²)$, and history of radiation therapy were recorded.

Cardiopulmonary exercise testing

The study was performed on bike ergometer (VIA Sprint 150 P, Yorba Linda, CA) following RAMP (Raise, Activate, Mobilize, and Potentiate) protocol (approximately 0.3 W/kg/min increment). In addition to vital signs and continuous ECG monitoring, oxygen consumption (VO2), carbon dioxide production (VCO2), and minute ventilation (VE) were continuously measured. The exercise protocol was continued until the patient stopped due to symptomatic limitations. Achievement of peak exercise level was confirmed by either peak heart rate (HR) of more than 90% of estimated maximum HR for age (220 – age [yrs]) or respiratory exchange ratio (RER) of 1.1 or higher. Those who did not reach a peak exercise were not enrolled in this study.

Peak and submaximal exercise parameters were obtained. Peak values of HR (pHR; beats/min), systolic blood pressure (SBP; mmHg), work rate (pWR; W), VO2 (pVO2; L/min), oxygen pulse (pOP; ml/beat), minute ventilation (pVE; L/min), and peak RER (pRER: pVCO2/ pVO2) were measured. Peak VO2 was also presented by %predicted maximum VO2 (PmaxVO2). Predicted maxVO2 was obtained from the following formula [[19\]](#page-9-15);

$$
PmaxVO2 (ml/kg/min)
$$

= 37.9022 - [0.1957 * Age (years)]
+ 3.3287 * Gender.
Gender; Male 1, Female 0.

Submaximal CPET parameters consist of ventilatory anaerobic threshold (VAT; L/min) and submaximal slope parameters, including ΔVO2/ΔHR (a surrogate of stroke volume), ΔHR/ΔWR (heart rate dependency), ΔVE/ΔVCO2 (an inverse of ventilatory efficiency), and ΔVO2/ΔWR (oxygen uptake per work or "oxygen cost"). These submaximal slope parameters represent trends up to anaerobic threshold (AT). All CPET parameters were presented as absolute values. Some parameters were also indexed by body weight.

Subgrouping

We divided CCS into four subgroups by PmaxVO2 in both male and female CCS. Normal and Poor groups were defined as $pmaxVO2 \geq 80\%$ and $< 80\%$, respectively.

Statistics

Distribution of patients' demographics as well as peak and submaximal parameters were compared between the subgroups. The data were shown as mean±standard deviation (SD) for continuous variables, unless otherwise notified. Count and percentage for categorical variables were reported. Two-sample t-test and chi-square test

Table 1 Background Information of Childhood Cancer Survivors

were used to compare the mean and proportion, respectively, between the two groups. Model/test assumptions were checked before data analysis. All tests were twotailed at the level of significance of 0.05.

Results

From January 2018 to December 2021, we reviewed data of 38 male and 40 female CCS in this study who met the inclusion criteria. The patients were divided into Normal exercise performers (%pmaxVO2≥80%) and Poor performers (%pmaxVO2<80%), as defined.

Normal and poor exercise performers

There were 38 Normal performers (20 males and 18 females) and 40 Poor performers (18 males and 22 females) (Table [1\)](#page-3-0). The age at diagnosis of malignancy showed no difference in males but was significantly higher in Poor group than in Normal group for females. There was no significant difference in years after diagnosis between the two groups in either sex. The distribution of primary disease was not different between Normal and Poor groups in either sex. Total cumulated anthracycline dosage and incidence of chest radiation were comparable

%pmaxVO2: %predicted maximum oxygen consumption, ALL: acute lymphoblastic leukemia, AML: acute myeloblastic leukemia, Post-HSCT: post hematopoietic cell transplant, RT: radiation therapy, LVSF: left ventricular shortening fraction, LVEF: left ventricular ejection fraction

between Normal and Poor groups in both sexes. There was no difference in left ventricular systolic function (left ventricular shortening fraction [%LVSF] and ejection fraction [%LVEF]) by echocardiogram among all four groups.

Peak and submaximal CPET parameters

The results of CPET are presented in Table [2](#page-4-0). There is no significant difference in age at CPET in all four groups. Weight and BMI, although normal, were significantly higher in Poor groups than in Normal groups in both sexes. There was no significant difference in pHR or pRER. Normal groups showed significantly higher peak weight-indexed CPET values including WR/kg, pVO2/kg, and pOP/kg than Poor groups in both sexes, consistent with our definition of grouping. For submaximal parameters, Poor groups exhibited significantly lower VAT/ kg than Normal groups in both sexes. Δ[VO2/kg]/ΔHR, a surrogate parameter for stroke volume, was significantly lower and ΔHR/Δ[WR/kg], heart rate dependency, was significantly higher in Poor groups than in Normal groups in both sexes, suggesting limited stroke volume reserve resulting in faster exercise-induced HR increase in Poor groups. Ventilatory efficiency (ΔVE/ΔVCO2) was comparable in all four groups. ΔVO2/ΔWR, oxygen cost or oxygen uptake per work, was significantly lower in Poor group than in Normal group only in females.

Two-dimensional CPET analysis

Simultaneous assessment of two CPET parameters between Normal and Poor exercise performers provided a more mechanistic interpretation of compromised exercise capacity in CCS.

Skeletal Muscle Effects. Weight and pVO2 were plotted for the x- and y-axis, respectively (Fig. [1\)](#page-5-0). Both Normal and Poor groups showed excellent positive linear relationships (R^2 =0.42 to 0.72), but there was a marked difference between the Normal and Poor groups: Poor groups revealed significantly lower pVO2 at a given weight, suggesting decreased metabolically active muscle mass and possibly oxygen uptake capacity (=skeletal muscle effects) per weight in the Poor groups. This trend difference between Normal and Poor performers was equally seen in male and female CCS.

Stroke Volume Reserve. The ΔHR/ΔWR and ΔVO2/ ΔHR were plotted for the x- and y-axis, respectively (Fig. [2](#page-5-1)). The $\Delta HR/\Delta WR$ represents HR increase in response to a given WR (indicating HR dependency),

Table 2 Peak and Submaximal CPET Parameters

Male Female %pmaxVO2 Normal (≥80%) Poor (<80%) p value Normal (≥80%) Poor (<80%) p value Number 20 18 18 22 Age at CPET (years) 13.9±2.3 15.4 ± 3.2 0.10 14.3 ± 2.6 15.1 ± 3.2 0.36 Weight (kg) 52.2±13.7 66.0±15.8 **0.01** 51.6±14.4 59.8±11.5 **0.03** Height (cm) 162.4±14.1 166.5±13.6 0.81 156.4±13.0 159.2±8.3 0.27 BMI (kg/m^2)) 19.5±3.1 23.6±3.9 **0.0006** 20.7±3.5 23.6±4.5 **0.02 Peak CPET Parameters** pHR (beats/min) 189 ± 8 188 ± 14 0.92 187±5 189±8 0.70 pSBP (mmHg) 148±24 147±20 0.82 141±20 149±22 0.85 pWR (W) 146±44 128±48 0.24 110±41 97±28 0.22 pWR/kg (W/kg) 2.73±0.39 1.92±0.43 **<0.0001** 2.02±0.40 1.62±0.39 **<0.0001** pVO2 (L/min) 2.23±0.53 1.89±0.53 0.064 1.79±0.52 1.42±0.30 **0.007** pVO2/kg (ml/kg/min) 42.5 ± 5.2 29.1 ± 4.9 **<0.0001** 36.4±7.0 24.0±4.7 **<0.0001** %PmaxVO2 (%) 94.4±11.4 64.0±10.3 **<0.0001** 102±18 64.7 ± 11.1 **<0.0001** pOP (ml) 12.2±3.0 10.1±2.9 **0.04** 9.4±2.5 7.7±1.7 **0.02** pOP/kg (ml/kg) 0.23 ± 0.03 0.16 ± 0.03 **<0.0001** 0.19±0.03 0.13±0.03 **<0.0001** pVE (L/min) 81.9±21.4 77.5±20.3 0.54 64.3±15.7 57.2±17.8 0.20 pRER 1.20 ± 0.08 1.28 ± 0.16 0.092 1.21±0.09 1.26±0.13 0.18 **Submaximal CPET Parameters** VAT (L/min) 1.36±0.35 1.19±0.36 0.15 1.20±0.33 0.99±0.27 **0.03** VAT/kg (ml/kg/min) 26.6 ± 5.3 18.4 ± 4.5 **<0.0001** 24.5±5.0 16.8±4.7 **<0.0001** Δ[VO2/kg]/ΔHR (ml/kg/min) 0.36±0.07 0.25±0.07 **<0.0001** 0.32±0.10 0.19±0.07 **<0.0001** ΔHR/Δ[WR/kg] (kg/W) 31.6 ± 7.6 39.6 ± 11.8 **0.02** 36.2 ± 9.2 47.9±12.9 **0.003** ΔVE/ΔVCO2 23.5 ± 1.9 24.2 ± 4.1 0.52 24.7 ± 3.0 25.0±3.2 0.73 ΔVO2/ΔWR (L/min/W) 11.3±1.7 9.5±3.8 0.11 12.4±4.8 8.9±7.6 **0.006**

pVO2: peak oxygen consumption, pmaxVO2: predicted maximum oxygen consumption, CPET: cardiopulmonary exercise testing, BMI: body mass index, pHR: heat heart rate, pSBP: peak systolic blood pressure, W: watt, pWR: peak work rate, pOP: peak oxygen pulse, pVE: peak minute ventilation, pRER: peak respiratory exchange ratio, VAT: ventilatory anaerobic threshold, WR: work rate. VCO2: carbon dioxide production

Fig. 1 Scatter graphs with weight (kg) in x-axis and peak oxygen consumption (pVO2: L/min) in y-axis to characterize the skeletal muscle effects in childhood cancer survivors (CCS) (**A**) Males, and (**B**) Females. Normal and Poor exercise performers are defined as %pmaxVO2≥80% and pVO2<80% in both sexes, respectively. (**A**) Open square: Normal males; Closed square: Poor males. (**B**) Open circle: Normal females; Closed circle: Poor females. Normal performers tended to show higher pVO2 with a given weight in both sexes than Poor performers, suggesting better skeletal muscle effects (skeletal muscle mass and possibly aerobic energy metabolism) in Normal performers

Fig. 2 Scatter graphs with ΔHR/ΔWR (heart rate dependency) in x-axis and ΔVO2/ΔHR (surrogate of stroke volume) in y-axis to characterize the stroke volume reserve in CCS. (**A**) Males, and (**B**) Females. Normal performers tend to show higher ΔVO2/ΔHR and lower ΔHR/ΔWR compared with Poor performers in both sexes, suggesting higher stroke volume reserve in Normal performers

whereas ΔVO2/ΔHR signifies a surrogate of stroke volume during submaximal exercise. As ΔHR/ΔWR increases, ΔVO2/ΔHR decays exponentially. Higher HR dependency tends to be associated with lower stroke volume and vice versa. There is a noticeable difference between Normal and Poor groups in both males and females; Poor performers tend to show lower ΔVO2/ ΔHR in combination with higher ΔHR/ΔWR in both males and females, suggesting their decreased stroke volume reserve.

Exercise Endurance beyond Anaerobic Threshold (AT). The relationship between VAT and pVO2 was studied (Fig. [3A](#page-6-0) and **B**). There are excellent positive correlations between VAT and $pVO2$ in both males (R^2 : 0.65 to 0.69) and females (\mathbb{R}^2 : 0.58 to 0.67). The regression lines

are almost identical between Normal and Poor groups in both sexes, suggesting there is no difference in exercise endurance beyond AT. TheΔVO2/ΔHR and pOP represent stroke volume surrogate during submaximal phase and at the peak exercise, respectively (Fig. [3C](#page-6-0) and **D**). There are good to excellent correlations between Δ VO2/ Δ HR and pOP in both males (R²: 0.23 to 0.31) and females (\mathbb{R}^2 : 0.42 to 0.72) with no significant difference between Normal and Poor groups, concordant with the relationship between VAT and pVO2. These results suggest that the tolerance to anaerobic metabolism is comparable between Normal and Poor CCS in both sexes.

Ventilatory Efficiency for Oxygen Uptake. Peak VE and pVO2 were plotted for the x- and y-axis, respectively, where a slope indicates the ventilatory efficiency for

Fig. 3 A and B: Scatter graphs with ventilatory anaerobic threshold (VAT) in x-axis and peak oxygen consumption (pVO2) in y-axis to assess exercise endurance beyond anaerobic threshold (AT) when anaerobic metabolism is supposed to start. **C** and **D**: Scatter graphs with ΔVO2/ΔHR (surrogate of stroke volume) in x-axis and peak oxygen pulse (pOP: stroke volume surrogate at the peak exercise) in y-axis

oxygen uptake (Fig. [4\)](#page-7-0). There is an excellent correlation $(R^2=0.61$ to 0.76) between pVE and pVO2 in all groups except Poor males (R^2 =0.33). There is a slight decline in a slope of the Poor performers compared with the Normal performers in both males and females, suggesting slightly decreased efficiency in oxygen uptake in Poor groups.

Discussion

Using our unique CPET approach comparing Normal and Poor exercise performers in CCS, we studied the underlying pathophysiology of poor exercise performance in CCS, including (1) skeletal muscle mass effects, (2) stroke volume reserve, (3) exercise endurance beyond VAT, and (4) breathing efficiency. This retrospective CPET analysis helped us delineate possible underlying mechanisms of poor exercise performance in CCS, which can serve as a useful clinical biomarker in identifying subclinical cardiovascular abnormalities in CCS and an area for potential improvement toward better cardiovascular health.

Screening of subclinical late-onset cardiovascular complications in CCS

Anthracycline-induced cardiotoxicity plays a central role in the development of late cardiovascular complications

in CCS that can occur decades after the initial treatment [[20–](#page-9-16)[23\]](#page-9-17). Late-onset cardiotoxicity is insidious and nonspecific yet progressive and irreversible [[24\]](#page-9-18). Thus, early recognition of silent cardiotoxicity is essential to protect patients from developing symptomatic cardiomyopathy or advanced heart failure. Reliability of echocardiography in predicting late cardiovascular complications is limited, as normal echocardiogram at younger ages may not be indicative of freedom from late cardiotoxicity [[21](#page-9-19), [25\]](#page-9-20). Long-term cardiovascular complications for CCS pertain not only to direct myocardial dysfunction and heart failure but also include increased incidence of coronary artery disease, stroke, and variable vascular diseases [\[26](#page-9-21)]. Multiple efforts have been made to recognize this subclinical stage of cardiotoxicity, especially from noninvasive image modalities including advanced echocardiographic imaging and cardiac magnetic resonance imaging (CMRI). However, late cardiovascular complications in CCS stem not only from direct cardiotoxicity-induced myocardial impairment; they also involve multiple organs that interact the cardiovascular system.

Poor cardiopulmonary fitness level is known to be strongly correlated with higher risk of heart failure, cardiovascular events, and overall mortality in the general population [[27,](#page-9-22) [28\]](#page-9-23) and in cancer survivors [\[29](#page-9-24), [30](#page-9-25)].

Fig. 4 Scatter graphs with peak minute ventilation (pVE) in x-axis and peak oxygen consumption (pVO2) in y-axis to assess the ventilatory efficiency for oxygen uptake in CCS. **A**. Males, and **B**. Females. Normal performers showed slightly better pVO2 with the same pVE, suggesting their slightly better oxygen uptake efficiency than Poor performers in both sexes

Several studies have indicated that CCS present with significantly reduced exercise capacity compared with the age-match peers, representing poor functionality, quality of life, and health status [[12](#page-9-26), [14](#page-9-9), [18,](#page-9-14) [31](#page-9-27)[–35](#page-10-0)]. A detailed systematic approach with peak and submaximal CPET parameters will provide a wealth of physiological information that has been underused [\[9\]](#page-9-6). In our 78 CCS, no one showed abnormal echocardiogram, yet half of them exhibited subnormal peak exercise performance. Considering long-term cardiovascular health, this fact should not be overlooked.

Mechanistic assessment of poor exercise performance by comprehensive CPET analysis

Our unique CPET analysis demonstrated three main mechanisms for decreased exercise performance in CCS. Poor exercise performance in CCS may be attributed to a combination of primary cardiotoxicity (direct myocardial impairment induced by cytotoxic drugs), treatmentmediated adverse effects on other organ systems (skeletal muscle, blood vessels, autonomic nervous system, and lung), and physical deconditioning secondary to their unfavorable lifestyle, as indicated earlier.

The first responsible mechanism is decreased skeletal muscle effects, probably due to quantitatively decreased muscle mass and altered aerobic metabolism, as shown in Fig. [1.](#page-5-0) Poor performers presented significantly lower pVO2 values than Normal performers at the same weight in both sexes, suggesting decreased muscle mass in Poor

CCS as pVO2 is closely corelated with skeletal muscle mass [\[36](#page-10-1)]. Sarcopenia is characterized by low muscle quantity, high fat accumulation in the muscle, low muscle strength, and low physical performance [[37\]](#page-10-2). The chemotherapy-induced delayed skeletal muscle dysfunction is probably not fully reversible, and impairment of satellite cells, muscle motor innervation, or mitochondrial function may be responsible for impaired aerobic metabolism [\[38](#page-10-3)]. Skeletal myopathy in CCS is likely induced by mitochondrial dysfunction resulting in exacerbation of cell death and loss of regenerating capacity, which may be responsible for fatigue, muscle wasting, impaired regenerative capacity, and exercise intolerance [\[39](#page-10-4)]. Excessive fat mass in combination with quantitively decreased skeletal muscle mass and myopathic changes further deteriorate exercise performance in CCS.

Second, compromised stroke volume reserve is likely another reason for low exercise performance in Poor groups, as indicated by significantly lower pOP/kg, lower Δ[VO2/kg]/ΔHR, and higher ΔHR/Δ[WR/kg] in Poor groups (Table [2](#page-4-0)). In response to an incremental exercise protocol, faster HR increase usually indicates lower stroke volume reserve. This was also supported by the relationship between ΔHR/ΔWR and ΔVO2/ ΔHR (Fig. [2\)](#page-5-1). Our data are concordant with the report by Foulkes et al., who studied CMRI at rest and at peak exercise in 20 CCS from 8 to 24 years of age and demonstrated that a decreased exercise capacity is associated with impaired hemodynamics (=decreased cardiac index

and decreased peripheral oxygen extraction) and systolic functional reserve (=reduced LVEF increase) measured during exercise [\[40](#page-10-5)].

A third possible contributing factor for decreased exercise performance is inefficient breathing pattern with decreased oxygen utilization, as shown in Fig. [4](#page-7-0). As ΔVE/ ΔVCO2 was comparable between Normal and Poor groups, there may not be a significant difference in major ventilatory mechanics. As there was no notable drop of oxygen saturation at peak exercise in our cohort (data not shown), there should not be any relevant difference in oxygenation. Adult cancer patients have been shown to have relatively reduced respiratory muscle strength and lung diffusion capacity, which are likely responsible for a rapid and shallow breathing pattern during exercise [\[41](#page-10-6)]. It is not entirely clear, however, how these altered lung mechanics result in reduced oxygen uptake in relation to VE.

Treatable vs. untreatable conditions after cancer treatment

It is frequently challenging to identify subclinical changes of cardiovascular system in CCS, including unrecognizable insidious deterioration by sedentary lifestyle and a subtle improvement by routine exercise training. Importantly, the causes of poor exercise performance in CCS are not merely due to cardiotoxicity-induced direct myocardial impairment but also to secondary physical deconditioning. Some conditions can be improved by exercise training, whereas others may not be altered due to their irreversible nature.

We demonstrated certain responsible mechanisms for decreased exercise performance other than direct cardiotoxicity: decreased skeletal muscle effects (Fig. [1](#page-5-0)) and an inefficient breathing technique, in part, due to reduced respiratory muscle strength (Fig. [4](#page-7-0)). Anthracycline-induced direct cardiotoxicity has been extensively studied, but there has been no known effective treatment to reverse the processes of cellular injury, including increased reactive oxygen species (ROS) and increased intracellular calcium overload, suppression of protein synthesis, mitochondrial dysfunction and alteration in cardiac energy metabolism, impaired DNA-replication, and chronic inflammation $[42-48]$ $[42-48]$. Thus, it is reasonable to emphasize the improvement of treatable conditions when introducing a cardiac rehabilitation program; improving skeletal muscle integrity is an important therapeutic goal to sustain overall health resilience in CCS in addition to routine aerobic exercise. Our unique CPET methods are simple and useful in identifying subclinical cardiovascular abnormalities and recognizing the improvement of skeletal muscle effects and breathing efficiency with exercise training in CCS.

This study has several limitations. First, our patients are a heterogenous population with variable physical

conditioning, body habitus, level of puberty, and ethnic background; all affect exercise performance assessed by CPET. There were different types of malignancy and cancer treatment in our cohort, which may affect differently the performance of multiple organs involved in exercise capacity. In addition, there may be a selection bias at the patient enrollment, as not all CCS participated in CPET. Second, although we perceived pOP and ΔVO2/ ΔHR as surrogate markers for stroke volume both as absolute and weight-indexed value, it is not altogether accurate as peripheral oxygen extraction was not examined in this study. Third, obese patients $(BMI > 30 \text{ kg/m}^2)$ were not included in the study primarily to secure valid CPET analysis. However, obesity may be one important pathological feature of CCS after cancer treatment, which could be contributing to the development of future cardiovascular complications. Fourth, we did not measure lean body mass in this study. Having lean body mass measurement would have significantly enhanced our argument. Lastly, this is a retrospective study with a relatively small cohort in a single institution. A future prospective, multicenter study may eliminate the selection bias and will provide statistical power to validate our hypothesis.

Conclusions

Our current CPET analysis addressed three responsible mechanisms of poor exercise performance in CCS, including impaired skeletal muscle performance, reduced stroke volume reserve, and breathing inefficiency. By targeting treatable conditions, including skeletal muscle conditioning and breathing techniques through an exercise training program in combination with nutritional management to reduce excessive body fat, we may be able to introduce beneficial effects on patients' daily functionality, future quality of life, health span, and survival. These CPET parameters serve as excellent clinical biomarkers in identifying silent cardiovascular abnormalities in asymptomatic CCS and in assessing improvement of cardiovascular reserve and physical conditioning through exercise.

Acknowledgements

Authors thanks Dr. Abdul M. Bhat for his careful reading of the manuscript and excellent critiques and Ms. Kimberly Eissmann for editing the manuscript text.

Authors' contributions

TT conceptualized the study, designed the research strategy, collected, analyzed, and interpreted the data, created original figures, and drafted a manuscript. KD reviewed and analyzed the patients' background data from an oncology standpoint to create Table [1.](#page-3-0) GD collected and analyzed CPET data and helped create Table [2](#page-4-0). JQ provided and analyzed the patients' general background data from the database of an oncology survivorship program. All authors read and agreed the final version of the manuscript.

Funding

N/A.

Data availability

Yes.

Declarations

Ethics approval and consent to participate N/A.

Consent for publication N/A.

Competing interests

The authors declare that they have no competing interests.

Received: 14 February 2023 / Accepted: 30 October 2023 Published online: 17 November 2023

References

- 1. Robison LL, Hudson MM. Survivors of childhood and adolescent cancer: lifelong risks and responsibilities. Nat Rev Cancer. 2014;14(1):61–70.
- 2. Chow EJ, Leger KJ, Bhatt NS, Mulrooney DA, Ross CJ, Aggarwal S, Bansal N, Ehrhardt MJ, Armenian SH, Scott JM, et al. Paediatric cardio-oncology: epidemiology, screening, prevention, and treatment. Cardiovasc Res. 2019;115(5):922–34.
- 3. Oeffinger KC, Mertens AC, Sklar CA, Kawashima T, Hudson MM, Meadows AT, Friedman DL, Marina N, Hobbie W, Kadan-Lottick NS, et al. Chronic health conditions in adult survivors of childhood cancer. N Engl J Med. 2006;355(15):1572–82.
- 4. Hudson MM, Ness KK, Gurney JG, Mulrooney DA, Chemaitilly W, Krull KR, Green DM, Armstrong GT, Nottage KA, Jones KE, et al. Clinical ascertainment of health outcomes among adults treated for childhood cancer. JAMA. 2013;309(22):2371–81.
- 5. Loar RW, Noel CV, Tunuguntla H, Colquitt JL, Pignatelli RH. State of the art review: chemotherapy-induced cardiotoxicity in children. Congenit Heart Dis. 2018;13(1):5–15.
- 6. Armenian SH, Armstrong GT, Aune G, Chow EJ, Ehrhardt MJ, Ky B, Moslehi J, Mulrooney DA, Nathan PC, Ryan TD, et al. Cardiovascular Disease in survivors of Childhood Cancer: insights into epidemiology, pathophysiology, and Prevention. J Clin Oncol. 2018;36(21):2135–44.
- 7. Armenian SH, Hudson MM, Mulder RL, Chen MH, Constine LS, Dwyer M, Nathan PC, Tissing WJ, Shankar S, Sieswerda E, et al. Recommendations for cardiomyopathy surveillance for survivors of childhood cancer: a report from the International Late effects of Childhood Cancer Guideline Harmonization Group. Lancet Oncol. 2015;16(3):e123–136.
- 8. Lipshultz SE, Adams MJ, Colan SD, Constine LS, Herman EH, Hsu DT, Hudson MM, Kremer LC, Landy DC, Miller TL, et al. Long-term cardiovascular toxicity in children, adolescents, and young adults who receive cancer therapy: pathophysiology, course, monitoring, management, prevention, and research directions: a scientific statement from the American Heart Association. Circulation. 2013;128(17):1927–95.
- 9. Forman DE, Myers J, Lavie CJ, Guazzi M, Celli B, Arena R. Cardiopulmonary exercise testing: relevant but underused. Postgrad Med. 2010;122(6):68–86.
- 10. Van Brussel M, Bongers BC, Hulzebos EHJ, Burghard M, Takken T. A systematic Approach to interpreting the Cardiopulmonary Exercise Test in Pediatrics. Pediatr Exerc Sci. 2019;31(2):194–203.
- 11. Kaneko S, Tham EB, Haykowsky MJ, Spavor M, Khoo NS, Mackie AS, Smallhorn JF, Thompson RB, Nelson MD. Impaired left Ventricular Reserve in Childhood Cancer survivors treated with anthracycline therapy. Pediatr Blood Cancer. 2016;63(6):1086–90.
- 12. Powell AW, Nagarajan R, Mays WA, Chin C, Knilans TK, Knecht SK, Amos MA, Gerdes YM, Ryan TD. Cardiopulmonary Aerobic Fitness Assessment during maximal and Submaximal Exercise Testing in Pediatric Oncology patients after Chemotherapy. Am J Clin Oncol. 2018;41(11):1058–61.
- 13. De Caro E, Smeraldi A, Trocchio G, Calevo M, Hanau G, Pongiglione G. Subclinical cardiac dysfunction and exercise performance in childhood cancer survivors. Pediatr Blood Cancer. 2011;56(1):122–6.
- 14. Miller AM, Lopez-Mitnik G, Somarriba G, Lipsitz SR, Hinkle AS, Constine LS, Lipshultz SE, Miller TL. Exercise capacity in long-term survivors of pediatric

cancer: an analysis from the Cardiac risk factors in Childhood Cancer survivors Study. Pediatr Blood Cancer. 2013;60(4):663–8.

- 15. Ness KK, Gurney JG. Adverse late effects of childhood cancer and its treatment on health and performance. Annu Rev Public Health. 2007;28:279–302.
- 16. Sapkota Y, Qiu W, Dixon SB, Wilson CL, Wang Z, Zhang J, Leisenring W, Chow EJ, Bhatia S, Armstrong GT, et al. Genetic risk score enhances the risk prediction of severe obesity in adult survivors of childhood cancer. Nat Med. 2022;28(8):1590–8.
- 17. Kernizan D, Glass A, D'Aloisio G, Hossain J, Tsuda T. A combined analysis of peak and submaximal exercise parameters in delineating underlying mechanisms of sex differences in healthy adolescents. Pediatr Cardiol. 2022;43(5):1122–30.
- 18. Tsuda T, Kernizan D, Glass A, D'Aloisio G, Hossain J, Quillen J. Cardiopulmonary Exercise Testing characterizes Silent Cardiovascular abnormalities in Asymptomatic Pediatric Cancer survivors. Pediatr Cardiol. 2023;44(2):344–53 .
- 19. Octavio JM, Folk AL, Falini L, Xie S, Goudie BW, Gidding SS, Robinson BW. Standardization of a continuous ramp ergometer protocol for clinical Exercise Testing in Children. Pediatr Cardiol. 2019;40(4):834–40.
- 20. Lipshultz SE, Lipsitz SR, Sallan SE, Dalton VM, Mone SM, Gelber RD, Colan SD. Chronic Progressive cardiac dysfunction years after doxorubicin therapy for childhood acute lymphoblastic Leukemia. J Clin Oncol. 2005;23(12):2629–36.
- 21. Mulrooney DA, Hyun G, Ness KK, Ehrhardt MJ, Yasui Y, Duprez D, Howell RM, Leisenring WM, Constine LS, Tonorezos E, et al. Major cardiac events for adult survivors of childhood cancer diagnosed between 1970 and 1999: report from the Childhood Cancer Survivor Study cohort. BMJ. 2020;368:l6794.
- 22. Mulrooney DA, Yeazel MW, Kawashima T, Mertens AC, Mitby P, Stovall M, Donaldson SS, Green DM, Sklar CA, Robison LL, et al. Cardiac outcomes in a cohort of adult survivors of childhood and adolescent cancer: retrospective analysis of the Childhood Cancer Survivor Study cohort. BMJ. 2009;339:b4606.
- 23. Mulrooney DA, Armstrong GT, Huang S, Ness KK, Ehrhardt MJ, Joshi VM, Plana JC, Soliman EZ, Green DM, Srivastava D, et al. Cardiac outcomes in adult survivors of Childhood Cancer exposed to Cardiotoxic Therapy: a cross-sectional study. Ann Intern Med. 2016;164(2):93–101.
- 24. Chen MH, Colan SD, Diller L. Cardiovascular Disease: cause of morbidity and mortality in adult survivors of childhood cancers. Circ Res. 2011;108(5):619–28.
- 25. Slieker MG, Fackoury C, Slorach C, Hui W, Friedberg MK, Fan CS, Manlhiot C, Dillenburg R, Kantor P, Mital S, et al. Echocardiographic Assessment of Cardiac function in Pediatric survivors of Anthracycline-treated Childhood Cancer. Circ Cardiovasc Imaging. 2019;12(12):e008869.
- 26. Tilemann LM, Heckmann MB, Katus HA, Lehmann LH, Muller OJ. Cardiooncology: conflicting priorities of anticancer treatment and cardiovascular outcome. Clin Res Cardiol. 2018;107(4):271–80.
- 27. Khan H, Kunutsor S, Rauramaa R, Savonen K, Kalogeropoulos AP, Georgiopoulou VV, Butler J, Laukkanen JA. Cardiorespiratory fitness and risk of Heart Failure: a population-based follow-up study. Eur J Heart Fail. 2014;16(2):180–8.
- 28. Kodama S, Saito K, Tanaka S, Maki M, Yachi Y, Asumi M, Sugawara A, Totsuka K, Shimano H, Ohashi Y, et al. Cardiorespiratory fitness as a quantitative predictor of all-cause mortality and cardiovascular events in healthy men and women: a meta-analysis. JAMA. 2009;301(19):2024–35.
- 29. Jones LW, Courneya KS, Mackey JR, Muss HB, Pituskin EN, Scott JM, Hornsby WE, Coan AD, Herndon JE 2nd, Douglas PS, et al. Cardiopulmonary function and age-related decline across the Breast cancer survivorship continuum. J Clin Oncol. 2012;30(20):2530–7.
- 30. Schmid D, Leitzmann MF. Cardiorespiratory fitness as predictor of cancer mortality: a systematic review and meta-analysis. Ann Oncol. 2015;26(2):272–8.
- 31. Yildiz Kabak V, Calders P, Duger T, Mohammed J, van Breda E. Short and longterm impairments of cardiopulmonary fitness level in previous childhood cancer cases: a systematic review. Support Care Cancer. 2019;27(1):69–86.
- 32. Ness KK, Plana JC, Joshi VM, Luepker RV, Durand JB, Green DM, Partin RE, Santucci AK, Howell RM, Srivastava DK, et al. Exercise Intolerance, Mortality, and Organ System Impairment in Adult survivors of Childhood Cancer. J Clin Oncol. 2020;38(1):29–42.
- 33. Christiansen JR, Kanellopoulos A, Lund MB, Massey R, Dalen H, Kiserud CE, Ruud E, Aakhus S. Impaired exercise capacity and left ventricular function in long-term adult survivors of childhood acute lymphoblastic Leukemia. Pediatr Blood Cancer. 2015;62(8):1437–43.
- 34. van Brussel M, Takken T, Lucia A, van der Net J, Helders PJ. Is physical fitness decreased in survivors of childhood Leukemia? A systematic review. Leukemia. 2005;19(1):13–7.
- 36. Wittekind SG, Powell AW, Opotowsky AR, Mays WW, Knecht SK, Rivin G, Chin C. Skeletal muscle Mass is linked to Cardiorespiratory Fitness in Youth. Med Sci Sports Exerc. 2020;52(12):2574–80.
- 37. Pahor M, Manini T, Cesari M. Sarcopenia: clinical evaluation, biological markers and other evaluation tools. J Nutr Health Aging. 2009;13(8):724–8.
- 38. Scheede-Bergdahl C, Jagoe RT. After the chemotherapy: potential mechanisms for chemotherapy-induced delayed skeletal muscle dysfunction in survivors of acute lymphoblastic Leukaemia in childhood. Front Pharmacol. 2013;4:49.
- 39. Sorensen JC, Cheregi BD, Timpani CA, Nurgali K, Hayes A, Rybalka E. Mitochondria: inadvertent targets in chemotherapy-induced skeletal muscle toxicity and wasting? Cancer Chemother Pharmacol. 2016;78(4):673–83.
- 40. Foulkes S, Costello BT, Howden EJ, Janssens K, Dillon H, Toro C, Claus P, Fraser SF, Daly RM, Elliott DA, et al. Exercise cardiovascular magnetic resonance reveals reduced cardiac reserve in pediatric cancer survivors with impaired cardiopulmonary fitness. J Cardiovasc Magn Reson. 2020;22(1):64.
- 41. Travers J, Dudgeon DJ, Amjadi K, McBride I, Dillon K, Laveneziana P, Ofir D, Webb KA, O'Donnell DE. Mechanisms of exertional dyspnea in patients with cancer. J Appl Physiol (1985). 2008;104(1):57–66.
- 42. Volkova M, Russell R 3rd. Anthracycline cardiotoxicity: prevalence, pathogenesis and treatment. Curr Cardiol Rev. 2011;7(4):214–20.
- 43. Lipshultz SE, Karnik R, Sambatakos P, Franco VI, Ross SW, Miller TL. Anthracycline-related cardiotoxicity in childhood cancer survivors. Curr Opin Cardiol. 2014;29(1):103–12.
- 44. Cheng H, Force T. Molecular mechanisms of cardiovascular toxicity of targeted cancer therapeutics. Circ Res. 2010;106(1):21–34.
- 45. Henriksen PA. Anthracycline cardiotoxicity: an update on mechanisms, monitoring and prevention. Heart. 2018;104(12):971–7.
- 46. Sawyer DB, Peng X, Chen B, Pentassuglia L, Lim CC. Mechanisms of anthracycline cardiac injury: can we identify strategies for cardioprotection? Prog Cardiovasc Dis. 2010;53(2):105–13.
- 47. Scott JM, Khakoo A, Mackey JR, Haykowsky MJ, Douglas PS, Jones LW. Modulation of anthracycline-induced cardiotoxicity by aerobic exercise in Breast cancer: current evidence and underlying mechanisms. Circulation. 2011;124(5):642–50.
- 48. Kouzi SA, Uddin MN. Aerobic Exercise Training as a potential cardioprotective strategy to Attenuate Doxorubicin-Induced Cardiotoxicity. J Pharm Pharm Sci. 2016;19(3):399–410.

Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.