Periodic fever, aphthous stomatitis, pharyngitis, and adenitis (PFAPA) is a disorder of innate immunity and Th1 activation responsive to IL-1 blockade.

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Periodic fever, aphthous stomatitis, pharyngitis, and adenitis (PFAPA) is a disorder of innate immunity and Th1 activation responsive to IL-1 blockade

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The syndrome of periodic fever, aphthous stomatitis, pharyngitis, and cervical adenitis (PFAPA) is the most common periodic fever disease in children. However, the pathogenesis is unknown. Using a systems biology approach we analyzed blood samples from PFAPA patients whose genetic testing excluded hereditary periodic fevers (HPFs), and from healthy children and pediatric HPF patients. Gene expression profiling could clearly distinguish PFAPA flares from asymptomatic intervals, HPF flares, and healthy controls. During PFAPA attacks, complement (C1q, C2, SERPING1), IL-1–related (IL-1β, IL-1RN, CASP1, IL18RAP), and IFN-induced (A2M, IP-10/CXCL10) genes were significantly overexpressed, but T-cell-associated transcripts (CD3, CD8β) were down-regulated. On the protein level, PFAPA flares were accompanied by significantly increased serum levels of chemokines for activated T lymphocytes (IP-10/CXCL10, MIG/CXCL9), G-CSF, and proinflammatory cytokines (IL-1β, IL-6). PFAPA flares also manifested a relative lymphopenia. Activated CD4+/CD25+ T-lymphocyte counts correlated negatively with serum concentrations of IP-10/CXCL10, whereas CD4+/HLA-DR+ T lymphocyte counts correlated positively with serum concentrations of the counterregulatory IL-1 receptor antagonist. Based on the evidence for IL-1β activation in PFAPA flares, we treated five PFAPA patients with a recombinant IL-1 receptor antagonist. All patients showed a prompt clinical and IP-10/CXCL10 response. Our data suggest an environmentally triggered activation of complement and IL-1 suppression may thus be beneficial for treatment of PFAPA attacks, with IP-10/CXCL10 serving as a potential biomarker.

anakinra | autoinflammatory disease | inflammasome | therapy

The syndrome of periodic fever, aphthous stomatitis, pharyngitis, and cervical adenitis (PFAPA) represents the most common autoinflammatory fever disorder in childhood (1). This clinical entity is characterized by regular occurrences of high fever (≥39 °C), which are associated with at least one of the three cardinal clinical signs, including aphthous stomatitis, pharyngitis, and cervical adenitis (2, 3). Additional features, including headache, gastrointestinal symptoms, rash, and arthralgia, may be present (3–6) but are not consistently noted. The disease onset is generally before the age of 5 y, with attacks lasting 3 to 6 d and recurring every 3 to 8 wk. Patients are asymptomatic between episodes and show normal growth and development. PFAPA usually resolves in adolescence, although a small but increasing number of patients with adult-onset disease have been reported (7–9).

The etiology of PFAPA is unknown. Laboratory findings during flares show nonspecific leukocytosis with neutrophilia, elevated erythrocyte sedimentation rate (3, 4, 6), C-reactive protein (CRP) (10–12), and fibrinogen (4). In some patients serum IgD can be elevated (4, 13). PFAPA is diagnosed by exclusion of other probable causes of recurrent fevers in children, such as infectious, autoimmune, and malignant diseases. The differential diagnosis also includes cyclic neutropenia and the hereditary periodic fever syndromes (HPFs). The latter disorders are caused by genetic variants of the innate immune system (1) and include familial Mediterranean fever (FMF), TNF receptor-associated periodic syndrome (TRAPS), hyperimmunoglobulinemia D with periodic fever syndrome (HIDS), and cryopyrin-associated periodic syndromes (CAPS). The clinical overlap of PFAPA with these diseases (14–16) requires their exclusion, but at the same time raises the question of whether PFAPA is a separate entity or whether it represents a milder manifestation of HPFs or a collection of other undefined fever syndromes. Proposed concepts of the pathogenesis of PFAPA include infection, abnormal host immune responses, or a combination of both (10, 17).

To date, no completely satisfactory treatment options exist for PFAPA. Corticosteroid therapy of the flares is often associated with an increased attack frequency as well as the side effects of repeated therapy. Adenotonsilllectomy is still controversial, perhaps because of differences in the cohorts that have been studied (13, 18). Finally, the treatment with cimetidine is only effective in up to 50% of patients (20). Using a systems biology approach, we report data, which indicate that PFAPA flares are associated with an IL-1β/18-mediated recruitment of activated T cells to peripheral tissues, implicating Th1-chemokines as potential biomarkers and IL-1β as a previously unexplored therapeutic target.

Results

Whole-Blood Gene Expression Profiling Differentiates PFAPA Flares from Asymptomatic Intervals and HPF Flares, and Indicates Involvement of Innate and Adaptive Immunity in the Pathogenesis of PFAPA. From our prospectively collected cohort of 21 PFAPA patients in whom HPFs were genetically excluded (Fig. L4), we performed whole-blood microarray analysis in paired samples (flare, nonflare) of six patients fulfilling stringent PFAPA criteria, as noted in Materials and Methods, six healthy controls, and six HPF patients sampled


The authors declare no conflict of interest.

Data deposition: GeneChip data have been deposited with the Gene Expression Omnibus of the National Center for Biotechnology Information (NCBI), accession no. GSE17732.

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during flare (Fig. 1B). Unsupervised principal component analysis of the overall messenger RNA expression revealed that PFAPA flares were remarkably distinct from asymptomatic intervals in PFAPA patients and could be clearly differentiated from flares of patients with HPFs. In contrast, gene expression in PFAPA patients during asymptomatic periods was indistinguishable from that of healthy children (Fig. 2A).

The expression profiles of 960 probe sets changed significantly in PFAPA patients when flare and asymptomatic periods were compared \( P < 0.007 \) at a false-discovery rate (FDR) 2.0\% (Dataset S1). Among the top up-regulated transcripts during PFAPA flares were complement genes (Fig. 2B) and transcripts typically induced by IFN or related to IFN signal transduction (Fig. 2C and E). Furthermore, several IL-1- and inflammasome-associated genes showed elevated levels of expression during PFAPA flares (Fig. 2D). In addition to IFN-inducible protein of 10 kDa (IP-10/CXCL10), which encodes a chemokine for activated T lymphocytes (21), the transcription of various other chemokines and chemokine receptors expressed by monocytes (CCRI, CCR2) was up-regulated, whereas receptors expressed on T lymphocytes were down-regulated (CXCR3) (Fig. 2E). Accordingly, a statistically significant underrepresentation of a variety of T-cell-associated transcripts was observed during PFAPA flares compared with asymptomatic intervals (Fig. 2F).

As these findings could be simply a reflection of changes associated with fever during PFAPA, we compared the differential expression of PFAPA flare-associated transcripts with those seen during HPF flares. To maximize the possibility of detecting differentially expressed genes in this unpaired comparison of patient samples during fever, we set the fold-change and FDR criteria at 1.5 and 20\% \((P < 0.05)\), respectively (Dataset S2). Among a total of 600 differentially expressed genes, we identified the top five biological pathways in PFAPA patients: (i) cytotoxic T-lymphocyte–mediated apoptosis of target cells, (ii) T-cell receptor signaling, (iii) IL-9 signaling, (iv) p53 signaling, and (v) TREM1 signaling, thereby suggesting the involvement of both adaptive and innate immunity. We found that 16 of 26 (61\%) PFAPA flare-associated T-cell transcripts differed significantly from HPF flares, as well as 4 of 7 (57\%) IL-1-inflammasome–associated genes, 4 of 10 (40\%) complement genes, 3 of 10 (30\%) chemokine/receptor genes, and 6 of 25 (24\%) IFN-induced genes (Fig. 2B–F).

The comparison of gene-expression levels between healthy controls with those of PFAPA patients during asymptomatic intervals showed no difference at a FDR that would correspond to a \( P < 0.05 \). As these two study populations were indistinguishable, we did not compare transcript levels of healthy controls with PFAPA patients during flares.

To validate our microarray data, we performed quantitative real-time PCR (qRT-PCR) on a larger group of PFAPA patients and controls, including PFAPA patients with less stringent (classic) criteria, as noted in Materials and Methods (Fig. 1B). We analyzed 15 paired PFAPA samples (flare, nonflare), 11 healthy controls, and 6 HPF patients during flare. The results of these experiments confirmed the complement, IL-1β- and IFN-activating processes during PFAPA flares (Fig. S1).

Taken together, our results indicate that gene-expression levels of asymptomatic PFAPA patients are indistinguishable from healthy controls, but that transcription patterns during PFAPA flares involve host defense mechanisms that comprise innate (complement cascade, IL-1-inflammasome) and adaptive (T lymphocytes, IFN-γ signaling) immunity, and support the idea of an immunologic response to environmental exposures, possibly infectious, as the trigger of PFAPA flares.

### Analysis of Cell Subtype Distributions in Peripheral Blood of PFAPA Patients Reveals Activation and Peripheral Retention of Th1 Cells During PFAPA Flares.

The concurrent measurement of circulating white-cell counts and lymphocyte subpopulations during and between PFAPA flares (Fig. 1B) allowed us to elucidate the cause of reduced expression of T-lymphocyte–associated mRNA transcripts. During flares, PFAPA patients showed significant leukocytosis with neutrophilia and monocytosis, accompanied by a relative lymphopenia and eosinopenia (Table S1). The PFAPA flare-associated changes in cell counts also reached statistical significance by IFN or related to IFN signaling immunity, and support the idea of an immunologic response to environmental exposures, possibly infectious, as the trigger of PFAPA flares.

![Table 1](image1.png)

Table 1. Study population and procedures. (A) Demographic and clinical characteristics of 21 patients with PFAPA syndrome. IQR, interquartile range. (B) Schematic of the overall study population and number of patients analyzed in each different assay or experiment. Definitions of classic and stringent PFAPA criteria are provided in Materials and Methods. Infectious serology comprised anti-streptolysin O, anti-DNaseB, antibody titers against HSV 1 and 2, EBV, and CMV. ANA, anti-nuclear antibody; ND, not done; PAPA, pyogenic arthritis, pyoderma gangrenosum, and acne syndrome.
Distinct whole-blood gene expression profiles in PFAPA syndrome. Microarray analysis of messenger RNA extracted from whole blood of six PFAPA patients during and between episodes, six healthy controls and six hereditary periodic fever patients during flares [two FMF (M694V/E148Q and M694del in MEFV), one TRAPS (CS2G in TNFRSF1A), three CAPS (two G569R, and L632F in NLRP3/CIA51)]. (A) Principal component analysis of differentially expressed genes, with each dot representing one subject. (B–F) Hierarchical clustering of differentially expressed genes in the four study groups, with genes selected and listed according to their intensity of expression and canonical pathways, respectively. Gene symbols are depicted in the left column. At least twofold and statistically significant differences of probe set expression between active and inactive PFAPA disease states are shown (Wilcoxon signed-rank test $P < 0.007$, FDR 2%). Asterisks in the right column indicate at least 1.5-fold significantly changed transcript levels when comparing flares of PFAPA with flares of hereditary autoinflammatory diseases (Mann-Whitney $U$ test $P < 0.03$, FDR 20%). Expression values were normalized per gene (row) to the mean of the healthy control group; each column represents one individual. As shown on the color bars, red indicates relative up-regulation, blue relative down-regulation.

Lymphocyte immunophenotyping showed that T lymphocytes were significantly reduced during PFAPA flares compared with asymptomatic intervals, affecting both CD4$^+$ and CD8$^+$ T-cell numbers (Table S1). Of these populations, activated CD4$^+$ T cells, identified as CD4$^+$HLA-DR$^+$ ($P = 0.03$) and CD4$^+$CD25$^+$ ($P = 0.004$), were significantly reduced during attacks, whereas activated CD8$^+$ T cells did not differ. Although double-negative CD3$^+$ lymphocytes were significantly lower during PFAPA flares, there was no difference compared with healthy controls. The γ/δ T lymphocytes were slightly increased during asymptomatic periods of PFAPA relative to healthy controls, but did not show any change during flares. There were no differences in numbers of B cells, natural killer cells, and natural killer T cells when comparing PFAPA patients during flare and asymptomatic periods or in healthy controls. In summary, these data indicate that in addition to the well-recognized neutrophilia, numbers of monocytes, eosinophils, and activated CD4$^+$ lymphocytes are markedly perturbed during PFAPA attacks.

PFAPA Flares Are Associated with High Serum Levels of Proinflammatory Cytokines and Th1-Chemokines. To understand the basis for the cellular distributions during flares in the context of our gene-expression results, we measured IP-10/CXCL10, in addition to 27 other chemokines and cytokines, as markers of cellular activation in sera of PFAPA patients, healthy controls, and HPF flare patients (Fig. 1B). Three chemokines [IP-10/CXCL10, monocyte induced by IFN-γ (MIG/CXCL9), and macrophage inflammatory protein 1β (MIP-1β/CCL4)] that are known T-cell chemoattractants were significantly elevated during PFAPA flares compared with asymptomatic intervals (Fig. 3A), as were the levels of three proinflammatory cytokines (IL-18, IL-6, and G-CSF). In addition, IL-1$\beta$-receptor (IL-1R) antagonist showed a marked increase during PFAPA flares that reached statistical significance in comparison with healthy children ($P < 0.001$), but not compared with asymptomatic PFAPA periods (Fig. 3A). Comparing chemokine and cytokine values during PFAPA and HPF flares, we found that IP-10/CXCL10, MIG/CXCL9, MIP-1$\beta$, IL-1R) antagonist, and G-CSF were significantly higher with PFAPA flares. During their asymptomatic intervals, PFAPA patients showed slightly, but significantly elevated levels of MIG/CXCL9, MIP-1$\beta$, IL-6, and G-CSF relative to healthy controls (Fig. 3A). CRP levels increased significantly during PFAPA episodes, but reached levels similar to those seen during HPF flares (Fig. 3B).

Given the highly elevated gene-expression levels of a variety of complement components during PFAPA flares, we next analyzed the functional activity of the classical (CH50) and alternative pathway (AH50), as well as serum and plasma components, as potential indicators of environmental PFAPA triggers. PFAPA flares were associated with a marked increase of CH50 activity, which almost reached statistical significance ($P = 0.06$) in comparison with asymptomatic PFAPA intervals, whereas there was no change in AH50 activity (Fig. S2). Properdin Factor B, a component of the alternative pathway but also an acute-phase reactant, was significantly elevated during PFAPA flares relative to asymptomatic intervals ($P = 0.04$), but did not differ from the elevated values during HPF flares. Measurements of serum C1-INH, C1q, C2, C3, C4, and C5 in two PFAPA patients during flares were normal (Dataset S3). Plasma levels of the activated complement fragments Bb, C3a, C4d, and C5a were within normal ranges when measured in one PFAPA patient during a flare.

Because the classic complement pathway is activated via antigen-antibody complexes, as well as a variety of bacterial and viral agents, we analyzed antimicrobial antibody and serum titers...
To identify cytokines/chemokines

$$p = 0.007 \quad p = 0.02 \quad p = 0.03$$

April 26, 2011

Pfl lymphocytes ($$p = 0.64$$, vol. 108 = 0.007). Serum concentrations of IL-1R antagonist were significantly associated with changes in G-CSF, independent of the other variables for which we adjusted (Dataset S5). Changes of IL-18 were closely related to changes in MIG/CXCL9, but less closely related to changes in IP-10/CXCL10 and G-CSF.

IL-1 Blockade Resolves PFAPA Flares and IP-10/CXCL10 Response.

Given the overexpression of inflammation-related genes in PFAPA flares, we hypothesized that PFAPA patients might benefit from IL-1 inhibition. Five consecutively recruited PFAPA patients fulfilling classic PFAPA criteria (Fig. 4A) received one dose of the recombinant IL-1R antagonist, anakinra, each on the second day of the flares. All children showed a prompt clinical response, with their fevers and inflammatory symptoms ceasing within hours of the injection (Fig. 4B). Two patients had a fever relapse 2 d (patient 4) and 2 d (patient 2) after treatment, respectively, whereas the other three patients remained afebrile. Patient 4 was administered a second dose of anakinra 24 h following the first dose and showed, again, a rapid decline of his fever. Leukocyte count and CRP declined 48 h after anakinra. Serum IP-10/CXCL10 concentrations, elevated before anakinra, greatly diminished by 24 h after treatment (Fig. 4C). Levels of MIG/CXCL9 and G-CSF were markedly elevated in four and three patients, respectively, and declined continuously after treatment, but IL-18 concentrations were unchanged in four of the five patients (Fig. S3). Adverse events were limited to one episode of vomiting immediately after injection in one patient.

Discussion

In this article, we used a comprehensive systems biology approach to study PFAPA, a common but poorly understood recurrent fever syndrome in children. Our data draw important distinctions between PFAPA and the HPFs at the level of gene expression and serum cytokines, suggesting biomarkers that may help to clarify the diagnosis and informing our understanding of the pathophysiology of disease flares. Molecules of the innate immune system, such as complement, IL-1β, and G-CSF, appear to figure prominently in PFAPA attacks, and several lines of evidence suggest an important effector function for activated CD4+ T lymphocytes. Favorable clinical and laboratory responses to anakinra place IL-1þ proximal in the attack cascade and suggest a previously unexplored treatment option for PFAPA.

Clinical overlap with HPFs and the lack of specific laboratory markers require the exclusion of other causes of recurrent fevers before making the diagnosis of PFAPA, which is often associated with a multitude of costly hospital admissions. Our data corrob-
orotate the concept of PFAPA as a distinct nosologic entity, which can be differentiated on the basis of gene expression and protein levels when comparing attacks with asymptomatic intervals, as well as with flares of HPF patients. The latter distinction helps to establish the PFAPA gene-expression profile as different from a more nonspecific fever-associated signature.

Although PFAPA is the most common and clinically well-defined periodic fever syndrome in children, a unifying pathophysiological concept of this disease is lacking. Our data support a model of PFAPA in which environmental exposures and possible immunologic variants in the immature host conspire to cause recurrent febrile episodes (Fig. S4) (10, 17). PFAPA flares are by definition not associated with documented upper respiratory tract infection and—for anecdotal reports of pathogen isolation from tonsillar or adenoidal tissues (2, 22)—no infectious processes have been identified so far. However, our findings of marked induction of genes encoding numerous innate immune molecules, including both structural and counter regulatory complement proteins, during PFAPA flares, taken together with the cardinal findings of oral and pharyngeal inflammation and cervical adenopathy, strongly suggest a microbial trigger, perhaps amplified in the susceptible host at a particular stage of development. Although the overexpression of complement genes has also been described in children with systemic onset juvenile idiopathic arthritis (SoJIA), an autoimmune inflammatory disease with a complex genetic etiology (23, 24), the intensity of up-regulation was comparable to the results of our HPF group, therefore suggesting a nonspecific activation in SoJIA. Given the lability of complement proteins (25), it is not surprising that only one report of elevated serum complement C3 levels in PFAPA has been published (26). To better understand the trigger mechanism in PFAPA, future comparison of gene-expression profiles from PFAPA patients during flares with those of patients with other febrile illnesses should provide further information and insight as to why the immune system is stimulated with periodicity.

PFAPA flares were also characterized by evidence, at both the mRNA and protein level, of IL-1β and IL-18 activation, a further component of the innate immune system. The initiation of IL-1β and IL-18 processing is inflammasome-mediated. Inflammasomes are cytoplasmic macromolecular protein complexes that control the activation of caspase-1, which cleaves pro-IL-1β and pro-IL-18 into the biologically active, secreted forms (27). The increased expression of AIM2 and caspase-1 transcripts during flares of our PFAPA patients suggests activation of the AIM2 inflammasome (28–30), and thereby adds to the growing body of data implicating the role of IL-1β activation in periodic fever syndromes (1).

IL-1β acts as costimulator of T-cell function, usually together with an antigen or mitogen (31). Our findings correlating serum IL-1R antagonist levels (a surrogate for IL-1 levels, which are difficult to assay because of binding proteins in the serum) with activated CD4+ HLA-DR+ lymphocytes support this mechanism of T lymphocyte stimulation following monocyte/macrophage activation during PFAPA flares. Activated T cells secrete IFN-γ, thereby enhancing monocyte/macrophage stimulation via a feedback loop. Accordingly, we found highly elevated serum levels of IFN-inducible chemokines, IP-10/CXCL10 and MIG/CXCL9, as well as G-CSF, a neutrophil-inducing cytokine. IP-10/CXCL10 and MIG/CXCL9 are secreted by monocytes and neutrophils, and belong to the same chemokine family that recruits activated T lymphocytes via the receptor CXCR3 (21). The strong inverse correlation of IP-10/CXCL10 with CD4+CD25+ T cells during flares of our PFAPA patients, along with a relative lymphopenia, argue for recruitment of activated T lymphocytes to inflamed peripheral tissues, as lymph nodes and adenoids. Neither gene-expression studies of TRAPS-associated TNFRSF1A mutations in an endothelial cell line (32), nor of peripheral blood mononuclear cells of SoJIA patients (33, 34), implicated these T cell-recruiting chemokines could provide a novel basis for diagnostic testing of PFAPA that would be especially useful in a setting without access to genetic exclusion of HPFs.

Based on this conceptual formulation, which would place IL-1β upstream of T-cell activation in PFAPA, we treated five PFAPA patients during an attack with recombinant IL-1R antagonist. All patients showed a prompt clinical response, accompanied by a rapid decline of serum IP-10/CXCL10 and MIG/CXCL9, thus supporting a model in which IL-1β drives Th1 chemokines. Serum levels of IL-18, which acts upstream of the IL-1R antagonist, slightly increased. The fact that two patients had a fever relapse following anakinra injection is not surprising, given the short half-life of the medication. When we administered two doses of anakinra in one patient, the episode was shortened to half the regular

**Fig. 4.** Clinical and laboratory response of PFAPA patients treated with recombinant IL-1R antagonist (anakinra). Subcutaneous injection of five PFAPA patients with one dose of the recombinant IL-1R antagonist (anakinra) each, between 21 and 48 h following the onset of flare; one patient (patient 4) received an additional dose of recombinant IL-1R antagonist (anakinra) 24 h after the first dose. (A) Summary of demographic and clinical data of the five PFAPA patients; *Patient 5 received daily cimetidine but continued to have classic flares. (B) Fever curves before and after treatment; red arrows indicate administration of ibuprofen, gray arrow indicates administration of acetaminophen. (C) Laboratory values and cytokine/chemokine measurements in sera before and after injection of recombinant IL-1R (anakinra).
duration. Given the fact that many patients experience an increased frequency of attacks following steroids (5, 6), and that adenotonsillectomy is an invasive and therefore risk-associated procedure, our results support IL-1β inhibition as an alternative treatment option of PFAPA attacks. Future randomized treatment trials of PFAPA patients will help to determine efficacy and safety of anakinra, which so far has been well tolerated when administered daily in CAPS patients (35, 36).

In summary, a multiparametric analytic approach clearly differentiates flares of PFAPA from exacerbations of the HPFs. Key features of PFAPA attacks include complement and IL-1β/IL-18 activation, an IFN gene signature, TLR-1/2-cholinemia, and marked reduction in circulating activated CD4+ T cells and CD20+ B cells. We propose a model in which microbial triggers activate a cascade that begins with the innate immune system and ultimately recruits activated T cells to the periphery. Because the immunologically immature host appears to play at least a permissive role, longitudinal studies of patients with PFAPA may provide additional insights into the ontogeny of how these pathways are regulated. The findings reported here may also provide the basis both for the improved diagnosis and treatment of PFAPA.

**Materials and Methods**

**PFAPA Patients.** Patients less than 18 yr of age with a diagnosis of PFAPA fulfilled the following classical criteria: (i) recurrent fever episodes (>38.5 °C) with aphthous stomatitis or pharyngitis or cervical lymphadenitis; (ii) exclusion of infectious, rheumatic, and autoimmune disorders, immunodeficiency, and cyclic neutropenia (exclusion of mutations in the ELA2 gene); (iii) exclusion of the hereditary periodic fever syndromes FMF, TRAPS, HIDS, and CAPS; and (iv) no treatment with systemic corticosteroids 10 d before enrollment, and no treatment with cimelidine, colchicine, montelukast or immune response modulators 3 mo before enrollment.

We analyzed by microarray whole-blood samples obtained from six PFAPA patients (three females, three males; median age 5.6 yr, range 2.8–9.7) who fulfilled the following criteria: (i) the following 2010 diagnostic criteria for PFAPA were met: (a) fever episodes associated with at least two of the classical clinical features, (ii) leukocytosis with neutrophilia or elevated ESR.